

Customer Registration Form

(For Individuals and Non-Individuals)

Branch/ AP Name	
Client Name	
Trading Id/UCC	
DP Client Id	
A/C Opening Date	

One Stop Solution For

All Your Financial Needs!!

SS CORPORATE SECURITIES LTD

CIN: U74899DLI994PLC062572

 Corporate Office:
 NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi-110034

 E-mail:
 info@sscorporate.com
 Tel: 011-47003600 (30 Lines) Website : www.sscorporate.com

 Registered Office:
 NDM 2, Block D, 3rd Floor, Netaji Subash Place, Pitampura, New Delhi 110034

MEMBERSHIP ID / DP ID	SEBI REG. Nunber
07538	
3268	INZ000219533
IN303108	IN-DP-530-2020
12062000	111-01-330-2020
	ID / DP ID 07538 3268 IN303108

Compliance Officer (NSE & BSE)	Compliance Officer (NSDL & CDSL)	Director
Name : Mr. Rajesh Gupta	Name : Mr. Sanjeev Kumar Rana	Name : Mr Sunil Kumar Gupta
Phone No. : +91-11-47003602/3632	Phone No. : +91-11-47003650 .	Phone No : +91-11-47003610/3611
E-mail Id : rajesh@sscorporate.com	E-mail Id : dp@sscorporate.com	E-mail Id : sgupta@sscorporate.com

CONTACT DETAILS FOR INVESTOR GRIEVANCES

For any grievance/dispute please contact at the above address or mail us at email id- investor grievance@sscorporate.com or contact us on 011-47003600. In case not satisfied with the response, please contact the concerned exchange(s)/depository(ies)at :

Exchange/Depository Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd. (NSE)	ignse@nse.co.in	022-26598190 / 1800 266 0058
Bombay Stock Exchange Ltd. (BSE)	is@bseindia.co.in	022-22728097
National Securities Depository Limited (NSDL)	relations@nsdl.co.in	022-24994200
Central Depository Services (India) Limited (CDSL)	complaints@cdslindia.com	1800 200 5533

You can also login your grievance with SEBI at http://scores.gov.in. For any queries, feedback or assistance, please contact SEBI office on Toll Free Helpline at 1800 22 7575/1800 266 7575.

	FOR OFFICE USE ONLY - PAYMENT DETAILS																					
Amount				Cash/Cheque								Cheq	ue No.									
Drawn On		Date				Scheme					eme	Normal	<u> </u>	ecurity D	Deposit	0 []	ne Time	Deposit				
Bank Details																						
Checked By							Ve	erifi	ed By													

INSTRUCTIONS

PLEASE READ THE FOLLOWING INSTRUCTIONS

Instructions for filling up and completing the account opening document:

I. Please ensure that the form is complete in all respects and copies of the required documents are attached.

- 2. Pin code is compulsory in the address details.
- 3. Please put full signature at all the places marked (12) for this purpose, put initial on all pages & go through the terms.
- 4. Original documents will be required for verification at the time of submission of the form.
- 5. Please fill all information in capital letters.
- 6. Please affix (not staple) a recent passport size colour photograph(s) on the space provided & sign across the photograph.
- 7. Please furnish a original cancelled cheque for bank account verification.
- 8. Please go through Mandatory / Voluntary documents.
- 9. We do not accept cash, always draw your cheque in favour of 'SS Corporate Securities Limited.'

I have read all the above instructions/documents (part of account opening kit) carefully and well understood them.

ACKNOWLEDGEMENT

To

SS Corporate Securities Limited,

NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi-110034

Sub: Declaration for Opening of Trading and/or Demat Account along with Acceptance of KYC Document Booklet (Client Copy)

Trading ID / UCC	🗆 NSDL																	
	DPID IN303108 CLIENT ID									DPID 12062000 CLIENT ID								

Dear Sir,

1. I/We am/are desirous of opening the Trading Account (for Equity and/or Commodity) and Depository Account with SS Corporate Securities Limited and I/We am/are in the process of executing Client Registration documents relating to the opening of the Trading &/or Demat Account.

 I/We have furnished all the details required in the KYC form as per SEBI/ Exchanges/ Depository requirements. I/We confirm having read/been explained understood the contents of the KYC documents which are provided to me/us in a separate Client Copy (additional booklet- KYC document). This Client Copy (additional booklet) includes the following :-

- a. Account Opening Application Form/ KYC Registration Form
- b. Brokerage Slab/Tariff Sheet for Broking Services and Tariff Sheet/Schedule of Charges for DP Services
- c. Rights and Obligations of Stock Brokers, Authorised Person and Client including Internet & Wireless Technology Based Trading Facility provided by Stock Broker to Client as prescribed by SEBI and Stock Exchanges
- d. Risk Disclosure Document for Capital Market and Derivatives Segment (RDD) including Guidance Note-Do's and Don'ts for Trading on the Exchange(s) for Investors
- e. Policies and Procedures Equity
- f. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depository
- 8- Rights and Obligations of Members, Authorised Persons and Clients including Internet & Wireless Technology Based Trading Facility provided by Member to Client as prescribed by SEBI and Commodity Exchanges
- h. Risk Disclosure Document for Commodity Derivative Segment (RDD) including Guidance Note-Do's and Don'ts for Trading on the Exchange(s) for Investors-Commodity Derivative Segment
- i. Additional Risk Disclosure Document for Options Trading in Commodity Derivative Segment
- j. Policies and Procedures Commodity
- k. Terms & Conditions
- I. Addendum to the Client Registration Form/Key Information on Anti Money Laundering
- m. SMS Alert Facility (Terms and Conditions given as Annexure-2.4) CDSL Client Account
- n. Transactions Using Secured Texting Facility (TRUST) (Terms and Conditions as Annexure 2.6) CDSL Client Account
- 3. I/We understand that the Client Copy (additional booklet) is in accordance of the exchanges and/or SEBI/DP requirements applicable for opening Trading/DP account. I/We understand and agree that any amendment/modifications as required by the Exchanges/DP and/or regulators will be applicable to me/us at all point of time and changes if any in future will be intimated to me/us.
- 4. Further. I/We also confirm that all the information furnished by me/us in this form is true. I/We confirm that I/We have read/been explained and understood the Terms & Conditions, Rights and Obligations, Risk Disclosure, Policy & Procedures and their Terms & Conditions and General Information mentioned in the above mentioned documents which are provided to me/us in the Client Copy (additional booklet).

Name of Account Holder(s)	First/Sole Holder	Second/Joint Holder	Third Holder
Signatures of Account Holder(s)	r First∕Sole Holder	🖎 Second/Joint Holder	🖎 Third Holder

ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

S. No.	Name of Document	Brief Significance of the Document	Page No.
	1	1ANDATORY DOCUMENTS AS PRESCRIBED BY SEBI, DEPOSITORY & EXCHANGES	
		A. KYC Form - Part I / CKYC Form (For Individual) - Documents capture the basis about the constituent & an instruction/checklist (to be sent to KRA/CERSAI).	I to 2
I.	Account Opening Form	B. KYC Form - Part I (Non Individual) - Documents capture the basis about the constituent & an instruction/checklist (to be sent to KRA)	3 to 5
	0	C. Self Declaration Form - FATCA & CRS - Individual Accounts	6 to 7
		D. KYC Form - Part II Documents captures the basis about the constituent relevant to trading account & Demat account.	8 to 13
2.	Tariff Sheet — Equity & Commodity	Document detailing the rate/amount of brokerage & other charges levied the client for trading in the stock/commodity exchange(s).	16
3.	Tariff Sheet — Demat	Schedule of Charges/Tariff Sheet for DP Services	17 to 18
4.	Disclosure for PRO Trading	Disclosure by member for Proprietary Trading	19
	VOLUNTAR	Y DOCUMENTS AS PROVIDED BY STOCK BROKER/ MEMBER AND DEPOSITORY PARTICIPANT	
5.	Standing Instruction / Authorisation	I. For Running Account Maintenance	14-15
6.	(Authorisation by Client to Stock	2. Delivery Pay-In / Pay-Out 3. Declaration cum Undertaking for Commodity Accounts	
7.	Broker/ Member/DP)	4. Order Confirmation/ Modification Cancellation Instructions 5. Delivery of Order Confirmation/ Modification/ Cancellation Slips	
8.		6. Consent for receiving ECN and any documents/communications in electronic form from Stock Broker/ Member & Depository Participant	
9.		7. Declaration for Delivery of Trade Confirmation via SMS	
10.		8. Log Reports on Email & SMS 9. Confirmation for Trading in Electronic Mode	
11.		10. Confirmation for Trading through Online Trading Facility II. Declaration for Aadhaar	
12.		12. For debiting various Broking & Depository charges 13. For sharing of Data and Information	
13.	Email & Mobile Declaration	Format of Request - For Common Email & Mobile Number	18
14.	Banker's Verification	Verification	19
15.	Ghoshna in Hindi (घोषणा)	Declaration	19
16.	HUF Delcaration	Declaration by Karta & Co-Parcerners for HUF Accounts	20
17.	Demat Debit and Pledge Instruction	Demat Debit and Pledge Instruction (DDPI) given by client to Broker/Member for operational convenience	21
		CLIENT COPY (KYC DOCUMENT BOOKLET) Here, "H" means "Handed Over	r to Client"
		MANDATORY SECTION - TRADING AND DEMAT ACCOUNT (EQUITY)	
I.	Rights and Obligations - Stock Broker	Rights and Obligations of Stock Brokers/Trading Member, SubBroker/Authorised Person and Client including additional Right and Obligation in case of Internet & Wireless Technology Based Trading Facility provided by Stock Broker/Trading Member to Client as prescribed by SEBI and Stock Exchanges	H1 to H3
2.	Risk Disclosure Document (RDD)	Document detailing risk associated with dealing in the security/commodity/currency market.	H4 to H6
3.	Guidance Note	Document detailing Do's & Don'ts for trading on exchange, for the education of the invertors.	H7
4.	Policies & Procedures	Document describing significant policies & procedures of the stock broker	H8 to H11
5.	Anti-Money Laundering (AML)	Understanding for clients about Anti Money Laundering (AML) provisions	H14 to H31
6.	Right & Obligations	Right & Obligations documents of Beneficial Owner & Depository Participant	H12 to H13
7.	Terms and Conditions for SMS alerts from CDSL	Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to BOs for all debits]	H32 to H33
8.	Terms and Conditions for TRUST service from CDSL	Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL	H34 to H35

PA	RT I - KNOW YOUR CLI	ENT (KYC) /	CENTRAL KYC R	EGISTRY APPL	ICATION FO	ORM (For Ind	ividuals)			Ph	otograp	h
				Арр	lication Type*	* 🗌 New	🗌 Up	odate			•	
			ORATE							Please a	ffix vour	recent
	SEC	UKIII	ES LTD	KYC NO						i itabe a	iin jour	
	se fill this form in ENGLISH a	and in BLOCK I	ETTERS	kre no.						passport	size pho	tograph
A.	IDENTITY DETAILS								_			
١.	Name of the Applicant Maiden Name (if any)								_	turo.	Across p	notograph
2.	Father / Spouse Name								-/2	ignature		
3.	Mother Name											
4.	a) Gender 🗌 Male [Female	Transgender b)	Marital Stat	t us 🗌 Sing	gle 🗌 Married	c) Date of	Birth				1
5.	a) Nationality 🗌 In	dian 🗌 Ot	hers (Please specif	fy		-)				C Abolication forms must be filled by each abolicant i.e. (2nd Holder & Guardian)
	b) Residential Status] Resident Indi	vidual 🗌	Non Resident		🗌 Foreign Nat	ional		Pers	on of Ind	lian Orig	
6.	a) PAN		b) Aac	dhaar Number, if ar	וע	Ű						Ider 2
7.	,	v submitted	,	V Card	,	(Please specify						원) _ 된
		Jubinited				(110000 000017_						der. 3
B.	ADDRESS DETAILS Address Type	Resident	tial / Business	Residentia		Business	Ragis	tered Offi	ico		nspecifie	م الع ع
١.	Correspondence		iai / Dusiliess		11	Dusiliess					ispecifie	
1.	Address											antic
	· · · ·	City/Town/V	illage				Р	IN Code	—			
		State						ountry	-			ach a
2.	Specify the proof of addres		Residence/Corres	pondence address	5			,				
		Tel. (off.)			Tel. (Res.)			Fax No				- United Filler
3.	Contact Details	Mobile No.			E-mail ID							
4.	Permanent Address			<u> </u>								
4.	(If different from above.											an for
	Mandatory for Non-Resident Applicant to specify	City/Town/V	illage									licati
	overseas address)						C	ountry				
C.	DECLARATION											te KY
	hereby declare that the details nd I undertake to inform you						nature of					* Seperate KY
fo	ound to be false or untrue or m	isleading or mi	srepresenting, I am :	aware that I may	be held liable	for it. the	Applicant	<u>ل</u> ع				*
. I	hereby consent to receiving egistered number &/or email a	information fi	om Central KYC Re	egistry through S	MS/Email on		ate			Pla	ice	
				FOR OFFIC						<u> </u>		
S N	lo. Particulars					L I						
I	🗌 Originals verifie	ed and Self-Att	ested Documents co	opies received								
	In-Person-Verificatio	()	/ KYC Verification	carried out By :								
2	,	doing IPV						i				
	b) Designation			Employee Co	ode	online/re	ference					
c) Name of Organization SS Corporate Securities Lim												,
	d) Signature											
	e) Date							D [DIN	1 M	ΥY	ΥΥ
Nai SS	me & Signature of the Author S Corporate Secur	rised Signatory ities Limi	of ted					Seal/St SS Co			urities	Limited
Dat	e:							D	DIN	M	ΥY	YY
						1						╇┻╋

INSTRUCTIONS/CHECK LIST FOR KYC FORM (For Trading and Demat Account)

A. IMPORTANT POINTS

- Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
- 2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- 9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

- I. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
- 2. PAN card with photograph.
- 3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

List of documents admissible as Proof Address:

(*Documents having an expiry date should be valid on the date of submission.)

- Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/Unique Identification Number (UID) (Aadhaar Letter).
- 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/Passbook -- Not more than 3 months old.
- 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Schedulded Commercial Banks/Gazetted Officer/Notary Public/Elected representives to the Legislative Assembly/ Parliment Documents issued by any govt. or Statutory Authorities.
- 6. Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.
- 9. Aadhar Letter issued by UIDAI.

D. Exemptions/Clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
- 5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- 2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Non-Individuals)

SS CORPORATE SECURITIES LTD

S

	IDENTITY	ETAILS													
١.	Name of the A	plicant													
2.	Date of Incorp	ration D D M M Y Y Y Y Place of Incorporation													
3.	Date of comm	cement of business D D M M Y Y Y Y													
4.	a) PAN	b) Registration No. (e.g. CIN)													
5.	Status (please	tatus (please tick any one) 🗌 Private Limited Co. 🗌 Bank 🗌 Partnership 🗌 Public Ltd. Co. 🗌 Government Body													
	🗌 FI 🗌 Body Corporate 🔹 Non Government Organization 🔤 FII 🔤 Trust 🖾 Defense Establishment 🔤 HUF 🔤 Charities														
	Society AOP NGO's LLP BOI Others (please specify)														
	ADDRESS I	ETAILS													
Ι.	Correspondence														
	Address														
		City/Town/Village	PIN Code												
		State	Country												
2.		f of address submitted for correspondence address													
3.	Contact Detail	Tel. (Off) Tel. (Res.)	Fax No.												
4.	Registered Ado	Mobile No. E-mail ID													
4.	Ū	City/Town/Village													
	(if different from above)	State	PIN Code Country												
	OTHER DE		Country												
١.		ntial address and photographs of Promoters/Partners/Karta/Trustees and Whole Time Directors													
2.	DIN of Whole	If Space i	s insufficient, enclose these details separately												
3.			(illustrative format inclosed)												
	DECLARAT	I I I I I I I I I I I I I I I I I I I													
I/We	e hereby declare	hat the details furnished above are true and correct to the best of my/our knowledge Name & Sig	nature												
		ndertake to inform you of any changes therein, immediately. In case any of the above of the Autho	a												
	rmation is found le for it.	to be false or untrue or misrepresenting, I am/we are aware that I/we may be held Signatory(ie Date													
		FOR OFFICE USE ONLY													
51	No. Partic														
I		inals verified and Self-Attested Documents copies received													
		-Verification (IPV) details:													
2	a) Name (b) Design	the person doing IPV													
	, ,	Organization	SS Corporate Securities Limited												
	d) Signati	•													
	, °	τ													
	e) Date		L L M M Y Y Y Y												
Nar SS	ne & Signature (6 Corporat	the Authorised Signatory of Securities Limited	Seal/Stamp of the SS Corporate Securities Limited												
Dat	e:		D D M M Y Y Y Y												

INSTRUCTIONS / CHECKLIST FOR FILLING KYC FORM

A. IMPORTANT POINTS

- Self attested copy of PAN card is mandatory for all clients, including Promoters/ Partners/ Karta/ Trustees and whole time directors and persons authorized to deal in securities on behalf of company/ firm/ others.
- 2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

- I. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
- 2. PAN card with photograph.
- 3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

- Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- 3. Bank Account Statement/Passbook -- Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/ Scheduled Co-Operative Bank/ Multinational Foreign Banks/ Gazetted Officer/ Notary public/ Elected representatives to the Legislative Assembly/ Parliament/ Documents issued by any Govt. or Statutory Authority.
- 6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/ sub account, Power of Attorney given by FII/ sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.
- 9. Aadhaar Letter issued by UIDAI
- D. Exemptions/Clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)
 - In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
 - 2. Investors residing in the state of Sikkim.
 - 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
 - 4. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details

to the intermediary.

- E. List of people authorized to attest the documents:
 - Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
 - In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from Non-Individuals, over & above the POI & POA, as mentioned below:

Individuals	, over & above the POI & POA, as mentioned below:
Types of entity	Documentary requirements
Corporate	• Copy of the balance sheets for the last 2 financial years (to be submitted every year).
	 Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).
	 Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.
	 Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.
	 Copies of the Memorandum and Articles of Association and certificate of incorporation.
	Copy of the Board Resolution for investment in securities market. Authorised signatories list with specimen signatures.
Partnership Firm	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered partnership firms only).
	Copy of partnership deed.
	 Authorised signatories list with specimen signatures. Photograph, POI, POA, PAN of Partners.
Trust	• Copy of the balance sheets for the last 2 financial years (to be submitted every year).
	Certificate of registration (for registered trust only). Copy of Trust deed.
	 List of trustees certified by managing trustees/CA. Photograph, POI, POA, PAN of Trustees.
HUF	 PAN of HUF. Deed of declaration of HUF/ List of coparceners. Bank pass-book/bank statement in the name of HUF. Photograph, POI, POA, PAN of Karta.
Unincorporated association or	Proof of Existence/Constitution document.
a body of individuals	 Resolution of the managing body & Power of Attorney granted to transact business on its behalf. Authorized signatories list with specimen signatures
Banks/ Institutional Investors	 Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	 Copy of SEBI registration certificate. Authorized signatories list with specimen signatures
Army/ Government Bodies	 Self-certification on letterhead. Authorized signatories list with specimen signatures
Registered Society	 Copy of Registration Certificate under Societies Registration Act. List of Managing Committee members. Committee resolution for persons authorised to act as authorised signatories with specimen signatures. True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

	De	tails of				ners/ <mark> </mark> Client											ng a part of
Na	ame of Applica	nt															
	N of the Appli																
	Name																Dhotograph
I.	Relationship with	Applicant ((in Pame	tors W	/holo Ti	ma Dira	ctors of	tc)									Photograph
	PAN	Applicant ()	-					1			-
	DIN of Whole Time	L Prectors	/Aadhaa	r No of	Protected	rs/Partne	ers/Kar	ta									Please affix your recent
	Residential /		riadiiaa			, iai tiit	er 9/ 1141										_
	Registered																passport size photograph
	Address	City/Town	/Village							PIN							
		State								Cour	ntry						Signature Across photograph
	E-mail ID									Mob	ile No.						Signature Act
	Please tick, if appli	cable: 🗆	Politically	Exposed	P erso	n (PEP)	Related	to a P	olitical	ly Expose	d P ers	on (RPE	P) Not	PEP	N	ot RPEP	
2.	Name																Photograph
	Relationship with	Applicant (i.e. nomo	ters, W	hole Ti	me Direo	ctors et	tc.)									
	PAN																
	DIN of Whole Time	e Directors	/Aadhaa	r No. of	r e motei	rs/Partne	ers/Kar	ta									Please affix your recent
	Residential /																passport size photograph
	Registered									1							
	Address	City/Town	/Village							PIN							
		State								Cour		<u> </u>					Signature Across photograph
	E-mail ID	inaklas 🖂	Deltateril	. F			D.I		.1241		ile No.	(DDf	TD\ N (Signatu
	Please tick, if appl	icadie: 🗆	Politically	y Exposed	ir erso	on (PEP))⊐	Kelated	d to a P	olitical	iy Expos	ed r ers	ion (RP	E) Not	rer		ot RPEP	
3.	Name																Photograph
	Relationship with	Applicant (I	<u>i.e. rłómo</u>	ters, W	hole II	me Dire	ctors ef	tc.)	-					-			
	PAN DIN CWILL T	D'	//	. N ((D	/D ()	/V .	4.						_			Please affix your recent
	DIN of Whole Time Residential /	e Directors	/Aadnaa	r NO. 01	romotei	rs/farthe	ers/kar	ta	1								
	Registered																passport size photograph
	Address	City/Town	/Village							PIN							_
		State								Cour	ntry		1	1	I	11	Arross photograph
	E-mail ID									_	ile No.	İΤ					Signature Across photograph
	Please tick, if appl	icable: 🗆	Politicall	y Exposed	P erso	on (PEP)	Related	d to a P	olitical	ly Expos	ed Pers	ion (RPE	E) Not	PEP		ot RPEP	
4.	Name																Photograph
	Relationship with	Applicant ((i.e. nomc	oters, W	/hole Ti	me Dire	ctors e	tc.)									
	PAN																
	DIN of Whole Tim	e Directors	/Aadhaa	r No. o	fr ð mote	rs/Partne	ers/Kar	rta									Please affix your recent
	Residential /																passport size photograph
	Registered											-	-	1		<u> </u>	
	Address	City/Town	/Village							PIN							
		State								Cou		$\left \right $		-			Signature Across photograph
	E-mail ID	indular 🗖	L D.P.C. P	. F	10	(DE M	1 0 1 /		.121		ile No <u>.</u>	/0.0/					
	Please tick, if appl	icable: 🗆	Political	y Expose	d Y ers	on (PEP))⊐	Kelate	a to a P	olitica	IIY Expos	ed r er	son (RPI	LL) Not	rfl		ot RPEP	



Date D D M M Y Y Y Y



FATCA & CRS Declaration for Individual Accounts

Please fill all the details in Block Letters in English. Please mark (~) on the appropriate column Date D D M M Y Y Y														
	Trading ID / UCC			NSDL					l CDSL					
		DPID IN303108 CLI	ENT ID				DPID 120620	oo client id						
			F	irst/Sole Holder			Second/Joint H	older	1	Third Holder				
I	Name of Account Holde	er(s)												
2	Are you U.S. Person			Yes 🗆 N	lo		□ Yes □	No	🗆 Yes 🗆 No					
3	Gender	🗆 Male	🗆 Female 🛛 🗆	□ Other		ale 🛛 Female	🗆 Other	🗆 Male 🛛	🗆 Female 🛛 Other					
4	PAN													
5	Maiden Name (if any)													
6	Father's Name (mandatory if PAN is not	provided)												
7	Marital Status		🗆 Married	🗆 Unmarried 🗆	☐ Others	🗆 Ma	ırried 🗆 Unmarrie	d 🗆 Others	🗆 Married 🗆	Unmarried 🗆 Others				
8	Spouse's Name													
9	Date of Birth		D D M	MYY	Y Y	D D	M M Y	Y Y Y	D D M	M Y Y Y Y				
10	Country of Birth													
	City, State of Birth with													
Addı	ress of Tax Residency wou	ld be taken as available			ny change,									
12	Type of address given a	t KRA	🗆 Registere			🗆 Reg	idential 🗖 Busin istered Office		□ Residential □ Registered	Office				
13	Occupation			Business 🗆 C			vice 🗆 Business 🗆			Business 🗆 Other				
14	Source of Wealth	🗆 Prize Mo	Busines Rental Froperty Dney Royal Rease specify	Income	□ Gi □ Ar □ Pr	,	iness tal Income yalty	Salary Business Gift Rental Income Ancestral Property Prize Money Prize Money Royalty Other Please specify						
15	Gross Annual Income (Rs.)	□ <1 Lac □ 1-5 Lacs □ 5-10 Lacs □ 10-25Lacs □ >25 Lacs				Lac □ -5 Lacs 25Lacs □ >25		□ < 1 Lac □ 1-5 Lacs □ 5-10 Lacs □ 10-25Lacs □ > 25 Lacs					
16	Politically Exposed Per to PEP (RPEP)Status	son (PEP) or Related		RPEP 🗆 Not A			P RPEP No		PEP RPEP Not Applicable					
17	Are you a TAX Resident than India	of any country other		Yes 🗆 N	lo		□ Yes □	No	□ Ye	es 🗆 No				
If tio	ked "Yes" in point no.2 a	nd 17, please indicate a	II countries in v	vhich you are re	esident for	lax purpo	oses and the associ	ated Tax ID Nu	mbers below:					
18	Specify Country of Tax (If resident of more than specify all)	Residency*		,										
19	Specify Country of Citiz (If citizen of more than o specify all)													
20	Tax Identification Num Equivalent (other than	· · ·												
21	Identification Type (TIN or others, please spe		· c·.· /> · ·		11 /7 5	•••••			(1)(A					
	include all countries other tification	than India, where investor	is Citizen/Reside	nt/Green Card H	older/ lax Re	sident in 1	nose respective cour	ntries especially	ot USA.					
l/We this	entraction have understood the inf Form is true, correct and hereby accept the same.													
22	Signatures of Account	Holder(s)	First/S	ole Holder			Second/Joint Holder		F Third Ho	lder				

FATCA & CRS Terms & Conditions

- Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian Financial Institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies.
- > Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.
- Please note that you may receive more than one request for information if you have multiple relationships with SS Corporate Securities Limited. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
- SS Corporate Securities Limited be authorized to collect, store, disclose, share, remit in any form, mode or manner, all/any of the information provided by you, including all changes, updates to such information as and when provided by you to SS Corporate Securities Limited, its affiliates, its employees or any Indian or Foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the TAX/ Revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising you of the same.
- SS Corporate Securities Limited is authorized to share the given information to other SEBI Registered Intermediaries to facilitate single submission/updations & for other relevant purposes.
- In case of failure to disclose any material fact known to us, now or in future, may invalidate your application and SS Corporate Securities Limited would be within its right to put restrictions in the operations of you account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /Reserve Bank of India for the purpose or take any other action as may be deemed appropriate by SS Corporate Securities Limited if the deficiency is not remedied by you within the stipulated period.
- It shall be your sole responsibility(ies) to educate yourself/ yourselves and to comply at all times with all relevant laws relating to reporting under Section 285BA of the Act read with the Rules thereunder.

FATCA & CRS Instructions

- If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.
- It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.
- In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS indicia observed	Documentation required for Cure of FATCA/ CRS Indicia											
U.S. Place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;											
	2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND											
	3. Any one of the following documents:											
	Certified Copy of "Certificate of Loss of Nationality"											
	or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;											
	or Reason the customer did not obtain U.S. citizenship at birth											
Residence / mailing address in a	1. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; AND											
country other than India	2. Documentary evidence (refer list below)											
Telephone number in a country other	If no Indian telephone number is provided											
than India	I. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; AND											
	2. Documentary evidence (refer list below)											
	If Indian telephone number is provided alongwith a foreign country telephone number											
	I. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; OR											
	2. Documentary evidence (refer list below)											
List of acceptable document	ary evidence needed to establish the residence(s) for tax purposes;											
I. Certificate of residence issued by	an authorized government body*											
2. Valid identification issued by an	authorized government body* (e.g. Passport, National Identity card, etc.)											
st Government or agency thereof or a n	nunicipality of the country or territory in which the payee claims to be a resident.											
List of occupation												
Service Student Professi	onal Housewife Retired Proprietorship Others (Please specify):											

(For Both Individuals and Non-Individuals)

APPLICATION N	O. INTERNAL REFEREN	E NO.	DATE:									
Trading ID / UCC	NSDL CDSL		BSDA 🗆 NON-BSDA									
	DPID IN303108 CLIENT ID	DPID 12062000	CLIENT ID									
I/We request you to ope	n a Trading and/or Demat account in my/our name as per the following	letails: (To b	e filled by the applicant in BLOCK LETTERS in English)									
HOLDER DETAILS	First/Sole Holder	Second/Joint Holder	Third Holder									
Name												
Pan												
Education	🗆 Under Graduate 🛛 Graduate 🔅 🗆 Under Gradua		🗆 Under Graduate 🛛 Graduate									
Qualification	□ Post Graduate □ Professional □ Post Graduate		□ Post Graduate □ Professional									
	Private Sector Agriculturist Private Sector Public Sector Government Service Housewife Government Service	□ Agriculturist □ Retired ervice □ Housewife	Private Sector Agriculturist Dublic Sector Government Service Housewife									
Occupation	□ Government Service □ Housewife □ Government S □ Business □ Student □ Business	ervice \Box Housewire \Box Student	Business Student									
	□ Professional □ Farmer □ Professional	□ Farmer	□ Professional □ Farmer									
	Others_please specify Others_please	pecify	Others please specify									
	ciation of Persons (AOP), Partnership Firm, Unregistered Trust, etc., altho ersons (AOP), Partnership Firm, Unregistered Trust, etc., should be menti		e name of the natural persons, the name & PAN									
Name												
Registration No./CIN		PAN										
TYPE OF ACCOUN	IT - Sub Status											
🗆 Individual	□ Individual Resident □ Individual Director □ Individua □ Minor □ Individual Margin Trading A/C (MANTRA		vidual HUF/AOP Individual Promoter rs: please specify									
🗆 NRI	□ NRI-Repatriable □ NRI-Non-Repatriable □ NRI-Repa □ NRI-Depository Receipts □ Others:please specify	triable Promoter 🛛 NRI-N	Non-Repatriable Promoter									
🗆 Foreign National	□ Foreign National □ Foreign National-Depository Receipts		rs: please specify									
🗆 Non-Individual		ied Foreign Investor 🛛 Mutu ng Corporation/House 🗔 Other	al Fund									
FINANCIAL DETAI	LS - Gross Annual Income Range (Per Annum) (Note: In case	of any change in gross annual income	e for individual / non-individual, please inform accordingly									
🗆 Individual	□ Below Rs.I Lac □ Rs I-5 Lacs □ Rs 5-10 Lacs	🗆 Rs 10-2	25 Lacs 🗆 More than Rs 25 Lacs									
🗆 Non-Individual	🗆 Below Rs.20 Lacs 🗆 Rs 20-50 Lacs 🗆 Rs 50 Lacs-1	Crore 🗆 Above	Rs Crore									
Networth Amount	Rs as on d d m	m y y y y (Net v	worth should not be older than I year)									
Please Tick "√", if	Politically Exposed Person (PEP) Related to Politically Exposed Person(NRPEP)	osed Person (RPEP) □ Not Po □ None	litically Exposed Person (PEP)									
applicable:	Note: In case of Non-Individuals, it is applicable for any of your Authorise	J Signatory(ies)/ Promoters/Partn	ers/Karta/Trustees/Directors									
	RDIAN (Only in case the account holder is a "Minor")											
Guardian's Name												
Guardian's PAN	Relationship with											
	count, Two (2) separate KYC Application Form, must be filled i.e. One in the nam		another in the name of Minor (To be signed by Guardian,									
	BER DETAILS (Only in case the account holder is a "Clearing Me											
Name of the Stock Exc	5											
Clearing Member ID	SEBI Registration											
Trade Name	CM BP-ID (to be fi	led by DP)										
In case of NRI's/	FII's/OCBs/OTHERS (as applicable) (Note: Please attach copy of SE	81 Regn. Certificate/ RBI Approval (Perm	vission) for dealing in securities from authorized dealer(bank)									
SEBI Registration No.		SEBI Registration Da	ted d m m y y y y									
RBI Approval Ref No.		RBI Approval Date	d d m m y y y									
hereby confirm that I	eference to my/our Trading and/or Demat account maintain with SSC /We have complied with, and shall continue to comply with Foreign Exc IA), 1999 and Rules & Regulations issued thereunder and other applicabl	hange 💦										

Mode of Operations in Joint A/c	\Box Anyone of holder or survivor	□ Jointly
Communication in Joint A/c	□ First Holder	🗆 All Holders

BANK ACCOUNT	DETAILS	Account Type (Tick "	🗸"): 🗆 Saving	🗆 Current	Others
Bank Account No.					
Bank Name,					
Bank Address					
with PIN Code				PIN	
IFSC Code		MICR Code			

Note: Leaf of Cancelled Cheque (duly signed by applicant) with MICR and IFSC code is required Or please provide copy of Bank Statement/Passbook having above details

BANK ACCOUNT DETAILS (0	ptional)		Account Type (Tick "🗸 ") : 🗆 S	aving 🗆 Current 🗆 Others							
Bank Account No.												
Bank Name,												
Bank Address												
with PIN Code			MICR Code		PIN							
				L								
DEPOSITORY ACCOUNT(S) D	ETAILS (Through which	h transactions shall generally be	routed)	(Please	e tick " \checkmark " whichever is applicable)							
Depository Participant (DP) Name	Depository Name	Beneficiary Name	D	IP ID	DP Client ID/ BO ID							
SS Corporate Securities Limited	🗆 NSDL 🗆 CDSL											
	🗆 NSDL 🗖 CDSL											
Note: Copy of latest DP Client Master L	ist (CML) or latest staten	nent of holdings issued by DP bea	ring applicant name is	required								
PAST ACTIONS				(Please	e tick " \checkmark " whichever is applicable)							
Details of any action/proceedings init directors/authorized persons in charg			authority against the a	pplicant/constituen	t or its partners/promoters/whole time							
🗆 Yes 🗆 No 🛛 If yes, p	rovide details:											
High Value Transactions				(Please	e tick " \checkmark " whichever is applicable)							
I/We may undertake high value trans with you. Purposes ofdoing transaction		nore in a day depending on marke	t volatility in any of th	•	,							
□ Trading / Speculation	🗆 Arbitrage Hedging	□ All of above										
GST Registration Details												
GST Registration No	Stat	e 5	State Code		Validity Datedd/mm/yyyy							
Local Sales Tax Registration No.		Name of the St	240	Va	Liditer Data III (
Central Sales Tax Registration No.												
Other Sales Tax Registration No					alidity Dated d / m m / y y y y							
Local VAT Registration No.		Name of the S	ate	Va	alidity Dated d / m m / y y y y							
Other VAT Registration No.		Name of the S	ate		alidity Datedd/mm/yyyy							

STANDING INSTRUCTIONS			/D	Dianca tick " .	" appropriate option a	nd strike off if	not onnlicable)	
	1 1 19.1					(Automatic C		
I/We instruct the DP to receive eac	ch and every credit in my	/our account	(If not marked	d, the default c	ption would be 'Yes')	🗀 Yes	□ Ńo	
Address of Communication/ Corpor	rate Benefits	(lf not marked, the default option w	vould be 'Local/	'Permanent Address')	□ Local/Per □ Correspon	manent Add dence/Foreign Add	
I/We would like to share the email	ID with the RTA		(If not marked	d, the default o	option would be 'Yes')	🗆 Yes	🗆 No	
I/We would like to receive the Ann	ual Report, AGM Notices	& Other Communications		e default optior	1 would be 'Physical')		Electronic Electronic	
I/We would like to instruct the DP	to accept all the pledge i	instructions in my/our acc			ion from my/our end	□ Yes	🗆 No	
Account to be opened through Pow	ver of Attorney (POA)		\	,	·/	🗆 Yes	🗆 No	
Account to be opened with Demat	Debit and Pledge Instruc	tion (DDPI)				🗆 Yes	🗆 No	
I/We wish to receive dividend/inter (ECS is mandatory for locations notified by	rest directly in my/our ba SEBI from time to time)	ank account given above t	hrough ECS (If not marked	d, the default o	ption would be 'Yes')	🗆 Yes	🗆 No	
Option for Issue of Delivery	Instruction Booklet	(DIS Booklet)			(Mandatory	:o select "√" a	ny one option)	
Kindly confirm the manner of recei To be filled by person seekir been granted/submitted to o	ng to open a Deposit						s (DDPI) has	
□ I/We require you to issue De immediately on opening my/ou Power of Attorney (POA) or sign executed/submitted in favour executing delivery instruction (settlement related transaction	a Demat Deb	ı Slip (DIS)						
Mode of Operations	🗆 Anyone Singly	🗆 Jointly 🗆 A	s per Board Resolution 🛛	l Others: plea	ase specify			
Account Statement Requirement	🗆 As per SEBI Regulat	ion 🗆 Daily 🛛 🗆 \	Veekly □	⊐ Fortnightl	y 🗆 Mon	thly		
SMS Alert Facility	MOBILE NO. +91				I st Holder	🗆 Yes	🗆 No	
Refer to Terms and Conditions given		giving Power of Attorney	2 nd Holder	🗆 Yes	□ No			
as Annexure 2.4			of this facility, cancel this of	ption)	3 rd Holder	🗆 Yes	🗆 No	
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions as Annexure - 2.6	and understood the Te	erms and Conditions press mber IDs under my/our	obile number registered for cribed by CDSL for the san [.] below mentioned BO ID	me. I/We wi	sh to register the	□ Yes	🗆 No	
Easi	To register for Easi, ple Easi allows a BO to vie	ase visit CDSL's website w w his ISIN balances, trans	ww.cdslindia.com actions and value of the por	rtfolio online	2	🗆 Yes	🗆 No	
Whether you wish to receive Physic Specify your Email Id, if applicable		nic Contract Note (ECN)/	Statement of A/c/ Txn/Holdin (If not marked, the d	-		🗆 Physical	🗆 Electronic	
Number of years of Investment / Ti	rading experience			E-m	nail Alert Facility	🗆 Yes	□ No	
Whether you wish to avail of the fa	acility of Internet Trading	g/Wireless Technology (pla	ease specify) :			🗆 Yes	🗆 No	
l wish to receive the Standard Acco Risk Disclosure Documents and Gu					nt), Uniform	🗆 Physical	🗆 Electronic	
In case of non-individuals, name, o Company Firm Any other information :	designation, PAN, UID, sig Others: please specify_	gnature, residential addre	ss and photographs of perso	ons authoriz	ed to deal in securitie		arate annexure)	

SELECT YOUR TRADING AND DEMAT PREFERENCES

JELECT TOOK TRAD	DING AND DEMATTICETER	LINCES												
Exchange		NSE, BSE & MSEI												
All Segment	Cash/ Mutual Fund	F&O	Currency	Debt										
If you do not wish to trade in	n any of the segments / Mutual Fund,	, Please mention here:												
NSDL		CDSL												
All Segment Cash/ Mutual Fund F&O Currency Debt Image: Comparison of the segments / Mutual Fund, Please mention here: Image: Comparison of the segments / Mutual Fund, Please mention here: Image: Comparison of the segments / Mutual Fund, Please mention here: NSDL Image: Comparison of the segment o														
DP ID		Client ID		3										

DEALINGS THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

If Client is dealing through the author	orised person, provide t	he following details:					
Authorised Person Name			SEBI Regn. No).			
Registered Office Address							
	City/Town/Village			PIN Code			
	State		Country				
Phone		Fax	Website				
Whether dealing with any other stoc	k broker/authorised pe	rson (in case dealing with multiple stock bro	okers/authorised person,	provide details of a	I)		
Name of Stock Broker							
Name of authorised person (if any)							
Registered Office Address						_	
	City/Town/Village			PIN Code	ПП		Т
	State		Country				
Client Code		Exchange					
Details of disputes/dues pending from	m/to such stock broker,	/authorised person					

NOMINATION OPTION										Date DD/MM/YYYY									
Trading ID / UCC																			
	DP ID IN303108 CLIENT ID									DP ID 12062000 CLIENT ID									

I/ We do not wish to make a nomination. [Strike off the nomination details below]

Declaration for opting out of nomination :

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further am/are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

I/We wish to make a nomination. [As per details given below]

NOMINATION DETAILS

١/١	We wish to make a nomin	ation and do hereby I	nominat	te the	follow	ing per:	son (s)	who sł	all reco	eive all	the as	sets hel	d in my	/our ac	count i	n the e	event of	my / o	ur deat	h	
No th	omination can be ree nominees in t	made upto he account.	Details of lst Nominee Details of 2nd Nominee							ee	Details of 3rd Nominee										
Ι	Name of the Nominee(, (
_	Share of each Equally	ally, please specify	%					%							%						
2	Nominee In not equipercentage	Any odd lot after division shall be transferred to the first nominee mentioned in the form.																			
3	Relationship With the	Applicant (If Any)																			
4	Address of Nominee(s)																				
		[
	1	PIN Code																			

5	Mobile/Telephone No. of Nominee(s)						
6	Email ID of Nominee(s)						
7	Nominee Identification details : [Please tick any one of following and provide details of same] Photograph & Signature PAN Aadhaar Saving Bank Account No.	Photograph of Ist nominee Signature of Nominee across photograph Signature	2nd	tograph of d nominee sre of Nominee ss photograph	Photograph of 3rd nominee Signature of Nominee across photograph Signature		
	Proof of Identity						
	Demat Account ID						
	Nos. 8-14 should be filled only if	nominee(s) is a minor:					
8	Date of Birth {in case of minor nominee(s)}	D D M M Y Y Y Y	DDM	МҮҮҮҮ	D D M M Y Y Y Y		
9	Name of Guardian (Mr./Mrs.) {in case of minor nominee(s)}						
10	Address of Guardian(s)						
	PIN Code Mobile/Telephone No. of Guardian(s)						
12	Email ID of Guardian(s)						
12	Relationship of Guardian (s) with Nominee						
14	Guardian Identification details : [Please tick any one of following and provide details of same] Photograph & Signature	Photograph of Ist Guardian Signature of Guardian across photograph Guardian Signature	2nd	tograph of Guardian re of Guardian ss photograph	Photograph of 3rd Guardian Signature of Guardian across photograph Signature		
	🗌 PAN						
	🗌 Aadhaar						
	Saving Bank Account No.						
	Proof of Identity						
	Demat Account ID						
	ne(s) of Holder(s)			Signature(s) of hold	er		
Sol	e/ First Holder (Mr./Ms.)			لم م			
Sec	ond Holder (Mr./Ms.)						
Thi	rd Holder (Mr./Ms.)			<i>₽</i>			

	Signature of Witness for Nomination*								
Name of the Witness	Address	Signature of Witness							
		Date D D M M Y Y Y Y							

*Signature of witness, along with name and address are required, if account holder affixes thumb impression instead of signature.

Notes: 1. This nomination shall supersede any prior nomination made by the account holder(s) if any.

2. The Trading Member/Depository Participant shall be provide acknowledgment of the nomination form to the account holder(s)

INTRODUCER DETAILS (Optional)

Name of the Introducer										
Status of the Introducer	🗆 Sub-broker	🗆 Remisier	🗆 Authorized Person	🗆 Existin	g Client	🗆 Others, pla	ease specify			
Address of Introducer										
	City/Town/Village						PIN Code			
	State			C οι	ntry					
Phone No.				Sig	nature of					
Client Code/Client ID (if Existing	Client)			the	Introducer					

DECLARATION

- I/We hereby declare that the rules, regulations and by-laws of the Depository, Depository Participants, Stock Exchanges, SEBI & Member Broker pertaining to Ι. an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. The details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may be held liable for it. In case non-resident account, I/We also declare that I/We have compiled & will continue to comply with FEMA regulation.
- 2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet and all the Voluntary / non-mandatory documents.
- 3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any. Place · Date

	1 lace	Dute
Signature of Sole/ First Holder/Guardian/Karta/ Auth. Signatory	Signature of Second Holder/Auth. Signatory	Signature of Third Holder/Auth. Signatory

NOTE

- All communication shall be sent at the address of the Sole/ First holder only. Ι.
- 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate. Strike off whichever is not applicable.

3. 4.

For receiving Statement of account in electronic form:

- Client must ensure the confidentiality of the password of the Email account
- Client must promptly inform the participant if the Email address has changed.
- III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, participant may also terminate this facility by giving 10 days prior notice.

	FC	R OFFICE USE ONLY							
UCC Code allotted to the Client		Client ID							

I/We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy Obligations' and RDD would be made available on my/our website, if any, for the information of the clients. and Procedures', tariff sheet and all the nonmandatory documents would be duly intimated to the clients. I/We also undertake that any change in the "Rights and Obligations".

STANDING INSTRUCTIONS / AUTHORISATION

To, SS CORPORATE SECURITIES LIMITED

NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi-110034 Dear Sir.

I/We have been/shall be dealing through you as my/our Broker on the Capital Market/Future & Option/ Currency Derivatives and/or Commodity Derivative Segment(s) through NSE/BSE Exchanges. I/We direct and authorize SS Corporate Securities Limited (herein after referred to as SSC) to carry out trading/ dealings on my/our behalf as per instructions given below. Please treat these instructions as written ratification of my/our verbal directions/authorizations given and carried out by you earlier.

Date

(Please mark Tick " \checkmark " the relevant box and strike off what is not applicable)

I. 🗆 Running Account Authorization

- a. Maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise and issue me/us CHEQUES/NEFT/RTGS/IMPS for the credit balance only as and when requested by me/us.
- b. Settle my/our fund account as per the following option; Once in every 90 days OR Once in a every 30 days
- or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
- c. Retain securities from my/our securities payout with you till request is made by me/us i.e. to maintain it as a running account for the securities.
- d. Retain payout of funds and securities to meet my/our margin and pay in obligations or debit my/our running account for the margin payable by me/us i.e. to maintain it as a running account for the funds.
- e. Transfer funds and/or securities from my/our account/running account in the inter-segment Capital Market/ Future & Option/ Currency Derivatives/ Commodity Derivative Segments and/or inter-exchange NSE/BSE or vice versa whenever applicable and found necessary by you.
- f. Pledge/re-pledge my/our securities as collateral/margin with SSC/Clearing Member(CM)/Clearing Corporation for the purpose of margin/collateral as also to meet shortfall obligation in my/our funds.
- g. I/We hereby agree to return the funds to me/us if I/we have not done any transaction in the 30 calendar days since the last transaction, within next three working days irrespective of the date when the running account was previously settled or I/We hereby agree to return the funds to me/us within the period as specified by SEBI/Exchange/s time to time.
- h. I/We authorize you to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the exchange and / or against the value of cash margin or collateral shares provided to you by me/us.
- i. I/We confirm you that I/We will bring to you notice any dispute arising from the statement of account or settlement so made in writing within seven (7) working days from the date of funds/securities or receipt of statement of account or statement related to it, as the case may be at your registered office.
- j. I/We am/are entitled to revoke this authorization at any time without any notice.

2. Delivery Pay-In/ Pay-Out:

- a. In case, I/We have made any purchase transaction and the delivery of the same falls short inter-client at the broker level, I/We hereby authorize you to make purchases of the same in the market to make available the delivery of the said deliveries to me/us only if you feel fit in your absolute discretion.
- b. Similarly, in case, I/We have made any sale transaction and due to exigence, I/We am/are unable to make available the delivery of the same in the designated account within the prescribed time and it is an inter client delivery at broker level, I/We hereby authorize you to make purchased of the same in the market on my/our behalf to make available to the opposite party only if you feel fit in your absolute discretion.

3. Declaration cum Undertaking:

I/We agree that any MTM loss on open positions should be adjusted against the initial deposit maintained in cash by me/us with you. I/We do hereby declare that I/We have not filed the Income Tax Return for the Financial Year (FY)& thus I/We cannot produce ITR copy for the purpose of opening account in Equity/Commodity/Currency Derivative segment(s).

4. □ Order Confirmation/ Modification/ Cancellation Instructions:

I/We understand that you require written instructions for receiving confirmation/modifying or cancelling orders. However, since it is not practical to give written instructions for every order, I/we hereby authorize you to kindly accept my/our authorized representatives, verbal instructions/orders in person or over phone and execute the same. I/We am/are getting required details from contracts issued by you.

5. Delivery of Order Confirmation/ Modification/ Cancellation Slips:

I/We hereby authorize you not to provide me/us order confirmation/modification/cancellation slips and trade confirmation slips as generated from the Trading Terminal to avoid unnecessary paper work unless otherwise requested by me/us in writing. I/We shall get the required details from contract notes and/or confirmation issued by you.

6. 🗆 Consent For Electronic Contract Note (ECN)/ Statements on E-mail/Website

I/We hereby agree, declare and undertake as follows;

- a. That SSC has to provide Physical Contract Notes (PCN)/ Various Statements that includes Transaction Statements/ Bills/ Financial Statements/ Net Positions/ Margin Statements/ Statements/ Accounts cum Register of Securities/ Trade Confirmation Statements/ DP Transaction Statement/ DP Holding Statement/ DP Transaction-cum-Holding Statement/ DP Bills/ DP Statement of Accounts/ Ledger Statements and/or all other statements/ reports with regards to my/our Trading and/or Demat Account(s) as per the statutory requirements or under my specific request for all the transactions placed by me/us unless I/We myself/ourselves want the same in the electronic form. I/We am/are aware that SSC has to provide Electronic Contract Notes (ECN)/ various statements as mentioned above for my/our convenience and/or on my/our request only.
- b. Though SSC is required to deliver Physical Contract Notes (PCN)/various statements, I/We find that it is inconvenient for me/us to receive Physical Contract Notes (PCN)/various statements. Therefore, I/We am/are voluntarily requesting for delivery of Electronic Contract Notes (ECN)/various statements pertaining to all the transactions carried out/ordered by me/us through e-mail and/or your website. I/We am/are aware that I/We will not receive contract note/various statements in paper/physical form. I/We understand that website(s) would mean www.sscorporate.com and or any of its pages/links/applets or any other website that SSC inform from time to time.



- I/We am/are aware that this declaration form should be in English or in any other language known to me/us. I/We have access to a computer and am a regular internet user, having sufficient knowledge of с. handling the email operations.
- My/Our registered email id has been created by me/us and not by someone else. I/We am aware that non-receipt of bounced mail notification by SSC shall amount to delivery of the ECN/various statements at d. my/our registered e-mail ID. That I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the Back Office/E-mail account. That I/We am/are aware that the ECN/various statements. may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
- That I/We shall log off from the Website/ Back office/ E-mail Service, whenever I/We am/are not accessing or using the service. Any liability incurred to me/us as a consequence of the not logging off the service e. shall be borne solely by me/us. That I/we shall immediately notify SSC in writing with full details if I/We discover or suspect, unauthorized access through my/our Back Office password or notice discrepancies that might be attributable to unauthorized access, Forget Back Office password or Discover a security flaw.
- That I/We am/are responsible for installing, operating and maintaining the communication equipment (including but not limited to personal computers and modems), software, tools, telephone or alternative f. service required at the my/our end and connectivity required for using and accessing the website(s), back office, email account(s), any above referred documents or any other related services at my/our own cost. That SSC will not (and shall not) be under any obligation to assist me/us in operating, installing and / or maintaining any such communication equipment/infrastructure or obtaining any permits, clearances etc for establishing connectivity or linkages to the website(s) of SSC. That SSC's own records of the ECN/various statements mentioned through computer systems or otherwise shall be accepted as conclusive and binding on me/us for all purposes. That the initial password sent by SSC of Back Office should only be used for the first login. I/We agree to immediately reset my/our password upon the first login. I/We understand and agree that If I/We do not change the password(s) after the first login, I/We shall keep the SSC and/or any of it's employees, directors, agents, associates indemnified against any loss suffered by them and also not hold them responsible for any loss suffered by me/us on my/our Trading and/or Demat Account. That the records of the SSC with respect to delivery of above referred documents shall be conclusive evidence of any such documents delivered. I/We agree not to hold you responsible for late/non-receipt of ECN/various statements sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.
- I/We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of ECN/various statements to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not g. be disputed by me/us on account of any non-receipt/delayed receipt for any reason whatsoever. I/We understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter. I/We acknowledge that SSC shall not take cognizance of any out-of-office/out—of-station auto replies and in such cases; I/We shall be deemed to have duly received such electronic statements sent on my/our registered e-mail address. The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract notes/various statements, and do hereby take full responsibility for the same. In case, I/We do not inform SSC and/or get the discrepancies corrected within the stipulated time, the same shall be deemed to have been unequivocally accepted by me/us and will be binding upon me/us. However, SSC in its sole and absolute discretion, reserves the right to determine the validity of any objection to the transaction, even though the objection is received after the expiry of stipulated time. SSC shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for me/us. That SSC and I/We shall have the right to terminate such service provided a written notice is given at least Ten (10) days in advance to the other party. That I/We shall approach SSC within 24 hours from the delivery/display of the above mentioned ECN/various statements intimating any inability to access or download. Failing which it shall be deemed to be the due delivery and acceptance sent to me/us via electronic mail/displayed on the website of SSC . I/We acknowledge that the may amend the Terms and Conditions contained herein at any time, with or without giving any prior notice to me/us & the same shall be binding upon me/us.
- Please treat this authorization as written rectification of my/our verbal directions/authorizations given and carried out by SSC earlier. I/We shall be liable for all losses, damages and actions which may arise as h a consequence of your adhering to and carrying out my/our directions given above.

7. Declaration for Delivery of Trade Confirmation via SMS:

I/We hereby agree and give my/our consent for sending Trade Confirmations via SMS at my/our registered mobile number in your records and I/We have also understood that I/We will not receive the telephonic trade confirmation.

8. LOG Reports on E-mail & SMS:

- I/We will completely rely on the log reports of your dispatching software a conclusive proof of dispatch of E-mail of Contact Notes, Statement of accounts, Settlement Account letter, Margin Report, etc. to me and will not dispute the same.
- b. I/We will completely rely on the log reports of your dispatching software a conclusive proof of dispatch of SMS of Trade Confirmations, Balances, etc. to me/us and will not dispute the same.

9. Trading in Electronic Mode:

Trading of Commodity in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I/We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control and may result in delay in processing or not processing buy or sell order either in part or in full. I/We agree that I/We shall be fully liable and responsible for any such problems/glitch.

IO. Online Trading Facility :

a.

Web Based Trading □ Application Based Trading □ Mobile App I/We wish to trade through Internet & Wireless Technology Based Trading (IBT) facility provided by you as per the options mentioned above.

I/We hereby confirm you to send our username and password and other related information at my/our registered e- mail address. Further, I/We confirm that I/We have fully read and b. understood the provisions laid down in the attached annexure pertaining to Internet & Wireless Technology Based Trading (IBT) facility provided by SSC to clients and do hereby acknowledge the same and agree not to call into question the validity, enforceability and applicability of any provision/clauses in this document under any circumstance what so ever.

II. Declaration for Aadhaar:

I/We hereby submit voluntarily at my/our own discretion, the physical copy of Aadhaar card/ Physical e-Aadhaar/ Masked Aadhaar / Offline Electronic Aadhaar Xml as issued by UIDAI (Aadhaar), to SSC for the purpose of establishing my/our identity / address proof and voluntarily give my/our consent to open account/ process instructions for the said purpose with SSC in my/our name/s individual capacity/ies using my/our Aadhaar or as an authorized signatory in non-individual accounts and; hereby consent to SSC for verification of my/our Aadhaar to establish its genuineness through Quick Response (QR) code embedded in the Aadhaar card or through such other acceptable manner as per UIDAI or under any Act or law from time to time. The consent and purpose of collecting Aadhaar has been explained to me/us in local language. SSC has informed me/us that my/our Aadhaar submitted to the SSC herewith shall not be used for any purpose other than mentioned above, or as per requirements of law. SSC has informed me/us that this consent and my/our Aadhaar will be stored along with my/our account details within SSC I/We hereby declare that all the information voluntarily furnished by me/us is true, correct and complete. I/We will not hold SSC or any of its officials responsible in case of any incorrect information provided by me/us.

12. \Box Charges & Balance Maintenance:

I/We have trading and/or demat account with SSC. Please debit the charges relevant with depository services and courier charges for sending physical contract notes & other documents from my/our trading/demat account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading/demat account and/or pay adequate advance fee for the said reason.

I3. D Authorisation for Circulars:

I/We shall abide by the rules regulations/guidelines circulars of the exchange issue from time to time as applicable and shall be liable for non-adherence.

I/We shall be liable for all losses, damages and actions which may arise as a consequences of your adhering to and carrying out my/our directions given above and further agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of securities/monies under this agreement. Please treat this authorization as written ratification of my/our verbal directions/authorizations given and carried out by you earlier. Please follow the above mentioned standing instructions until further written instruction. I/We agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my/our directions given above.



BROKERAGE SLAB/ TARIFF SHEET FOR BROKING SERVICES

Date:

SS Corporate Securities Limited

NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, Delhi 110034

Dear Sir,

To,

I/ We hereby agree with the charges and brokerage terms & conditions as mentioned below:

c	apital Market	(Cash) Segme	nt		vative (F&O) nent		Derivative nent	Commodity Derivativ Segment		
Del	ivery	Intraday /	Square Up	Futures	Option	Futures	Option	Futures	Option	
%age	Min(Rs.)	%age	Min(Rs.)	%age	Min(Rs./lot)	%age	%age Min(Rs./lot)		Min(Rs./lot)	
	ļ									

Other Charges and Terms & Conditions;

All statutory and exchange related charges i.e. Securities Transaction Tax (STT), Commodities Transaction Tax (CTT), Exchange Transaction Charges, Stamp Duty (as applicable State wise), SEBI Turnover Fees, Goods and Service Tax (GST) and any other Statutory/Regulatory/Government charges will be levied as may be applicable from time to time over and above Brokerage opted

Stock Broker is authorized to charge to client if any additional charges arrives in any given circumstance and conditions related to Trading and/or Demat and thereafter inform the client

Courier Charges will be levied on actual or Rs.100 (GST extra), whichever is higher on per delivery of physical document

Delayed Payment Charges on debit balance will be debited to client's Trading and/or DP ledger not exceeding 24% Per Annum

In event of Cheque bounce, charges per actual on each instance will be levied to client

All Types of Demat charges like annual maintenance fees, transaction charges etc, will be debited from Clients Trading ledger at actual as per clients Demat Account's Billing. Additional services provided to client like SMS/E-mail Alert facility etc., would be charged separately as decided by stock broker from time to time

Changes in any charges other than statutory/Regulatory /Government will be intimated within 30 days of notice and should be mutually agreed upon

Brokerage will not exceed the rates specified by SEBI and Exchanges.

Any penalty levied by Exchanges on the client positions shall be recovered from the Client's account, in case of default.

Minimum brokerage will be charged at the rate of Rs.20/- per for each day

I/We have understood and agreed the above terms and conditions related to charges listed above being charged to me/us, as applicable and agree to abide by the same.

First/Sole Holder

TARIFF SHEEET FOR DEMAT ACCOUNTS OF NSDL AND CDSL

Tick any one	Reg	gular Scheme	Corporate Account	Life Time				
Description	Individ	dual and HUF	Corporate	Individual and HUF				
Account Maintenance Cycle	a	uarterly Fou	r Quarters (One Year)	N.A.				
Maintenance Charges	Rs.250/-	for First Quarter,	Rs. 800/- for First Quarter,					
8	-	g three Quarters	Remaining three Quarters	Rs. 999/-				
	are free		are free					
Common Charges Applicab	le to All S	chemes :-						
Transaction Charges :-								
On Market Transaction:-Through	Speed-e	Rs. 15/- per Instru	ction					
Through DIS Slip		Rs. 20/- per Instru	ction					
Off Market Transaction :-Through	n Speed-e	Rs. 25/- per Instru	ction					
Through DIS Slip	·	Rs. 30/- per Instru						
Dematrialization Charges*		Rs. 6/- per certif	icate Subject to Min. Rs. 50/- Plu	us courier charges				
Dematrialization Rejection Charg	es*	Rs. 20/- per Reject	tionPlus courier charges					
Rematrialization Charges*		Rs. 100/- per requ	est or Rs. 25/- for every 100 secu	rities or part thereof,				
		whichever is higher Plus courier charges						
Pledge Creations		Rs. 50/- per instru	uction or 0.01% of value, whichev	ver is higher				
Securities Borrowings ,		Rs. 50/- per instru	uction					
Hold on Securities for NDU		Rs. 100/- per instr	uction or 0.02% of value whichev	ver is higher				
Closure/ Invocation of Pledge		Rs. 50/- per instru	uction					
Conversion of MF units*(SOA to	Demat)	Rs. 50/- per ISIN						
Reconversion of MF units* (Dema	at to SOA)	Rs. 100/- per ISIN						
Redemption of MF Units*		Rs. 15/- per ISIN						
Speed-e and Easiest (For Passwo	rd Users)	Rs. 100/- per Annum						
Speed-e and Easiest (DSC based	user)	Rs. 500/- per Quarter						
Speed-e & Easiest Activation Ch	arges /	Rs. 100/- per Requ	est					
Reset of Password								
Account / ISIN (Freezing/Unfree		Rs. 50/- per request						
Issue of Client Master(additional)		Rs. 20/- per Client Master Plus courier Charges, if sent by courier						
POA Charges including Stamp pa	per	Rs. 100/-						
DIS Book*(20 Leaves)		Rs. 30/- per Book Plus courier Charges						
DIS Book Issue through letter		Rs. 100/- per Book / Instance Plus courier Charges						
DIS Rejection/ Failed Instruction	Charges*		uction Plus courier Charges					
Modification in Client master		Rs. 50/- per instance						
Non -Periodic Statement		Nil by Email. Rs. 30/- per instance for physical.						
CAS Charges (Email / Physical)		As per NSDL / CDS						
*Courier Charges Extra :- R			- outside Delhi or Actual Charge					
Annum) (For Other Than Debt See Transaction Charges : - For On	curities Up to 1 Market Tra	50,000 - Nil, 50,000 nsaction : - Rs. 35/- pe	1 Lac to 2 Lacs Rs. 100/- p.a., More to 2 Lacs Rs. 100 per Annum, Abov r Instruction or 0.02% of value, which r Instruction or 0.02% of value, which	re 2 Lacs Rs 250/- p.a.) never is higher				

- In case Bank Mandate for Debit through ECS is not give, minimum credit of Rs. 500/- shall be maintained.
- In case of non-payment of dues within 30 days of due date, interest will be charged @2% per month on the outstanding dues and the depository services are liable to be discontinued. Restoration charges will be Rs.100/
- Any service not listed above will be charged extra.
- Govt. Taxes, Stamp Duty and other government levies are extra as applicable from time to time.

(Second Holder)

(Third Holder)

-.

FURTHER :

- I. Eligibility criteria for BSDA shall be evaluated at the end of every billing cycle. If the valuation of holding in BSDA acount exceeds the prescribed criteria at any date, AMC shall be applicable as per the regular accounts, from that date onwards.
- 2. Customer opting for BSDA have to mandatorily give ECS standing instructions.
- 3. Customer opting for BSDA, if required for any other services Rs. 25/- shall be charged for such service(s).
- 4. Charges are subject to revision by giving 30 days prior notice, information of which shall be sent by ordinary post / electronic mode / courier.
- 5. Basis the transaction value, SS Corporate Securities Limited may ask the Customer to deposit advance payment towards the transaction charges.
- 6. SS Corporate Securities Limited may refuse to execute the DIS / suspend / freeze the account in case of non-payment of outstanding bill.
- 7. Any dispute is subject to Delhi Jurisdiction only.
- 8. Customer agrees and authorise SS Corporate Securities Limited to recover outstanding amount appearing in the Demat account from the respective Trading Account.
- 9. In case of issuance of DIS without requisition slip / lost, Rs. 100/- will be charged.
- 10. In case of closure, refund shall be processed within 45-60 days.
- 11. Taxes shall be levied on the requisite / required services as per the prevailing Govt. Policy & Rate.
- 12. Any charge that is not mentioned above, shall be charged on actual. In case of any off market(sale) transaction, stamp duty should be paid in advance to the Depository(ies).

FORMAT OF REQUEST - FOR COMMON EMAIL & MOBILE NUMBER

SS Corporate Securities Limited

Corporate Office: NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi-110034

E-mail: info@sscorporate.com Tel: 011-47003600 (30 Lines)

Please mark (🖌) on the appropriate column and please fill all the details in Black and Block Letters in English.

Trading ID / UCC	□ NSDL																
	DP ID 303108 CLIENT ID									DP ID 12062000 CLIENT ID							

Dear Sir,

would like to confirm that following Mobile number &/or E-mail ID is/are registered in my account:-

Date

Mobile No. +9	N		
E-mail Id		· · · · · · · · · ·	

I understand that my mobile number/email id is registered with some other trading and/or demat account/s with you.

I hereby declare that I have given consent to the below clients, who is / are my family member/s (i.e. spouse/depended parents/depended children) to mention my mobile number/email id in their KYCs to which I have no objection.

All the transactions in their accounts are not only done as per their respective instructions but they are well conveyed by me of all the SMS/Email belonging to them which are sent by you to me on my above mobile number/email id.

All these below clients also do not have any objection to the same. They have also signed the consent form:-

Sr. No	Trading Id	Demat A/C No.	Client Name	Relation	Signature
I					
2				Spouse Father Mother Son Daughter	ı گ
3				Spouse Father Mother Son Daughter	ı گ
4				Spouse Father Mother Son Daughter	г Э
5				Spouse Father Mother Son Daughter	<i>L</i>

	Disclosure for	Proprietary Trading	
To, Mr. / Ms.			
Sub : Disclosure by Member for Proprietary Trading Dear Sir / Madam,			
This is in reference to above captioned subject, we as we as company do engage in proprietary busine		, , ,	half of client and for our own account a
Regards, For SS Corporate Securities Limited			
Authorised Signatory Note : The information to given in the form, is the sole property of 1	he Member Broker and would not be disclosed to a	nyone unless required by law/statutory/regulatory authoritic	es or except with express permission of the client.
I / We hereby acknowledge the receipt of the inforr	ACKNOWLED	GEMENT	
Signature of Client 🔊		Name :	
	BANKER'S VERI	FICATION	
™ SS Corporate Securities Limited NDM 2, Block D, 3rd Floor, Netaji Subhash Pl	ace, Pitampura, Delhi :110034	Dat	e:
Dear Sir,			
This is to certify that the savings / current account been active since We confirm responsibility on the part of the bank or the signin	n that the signature of the client a		
Signature of Client 🕰	•	Signature of Client	La
Signed in my/our presence and attested by me/us			
Bank Name & Address			
Name & Signature of Authorised Signatory			
Stamp of the Bank Branch			
//////////////////////////////////////	ary Documents duly interpreted in m	y mother tongue.	

Signature of Client 🕰 ______(Tear Here)______

SS CORPORATE SECURITIES LTD

DEMAT DEBIT PLEDGE INSTRUCTION (DDPI) AUTHORISATION

NON MANDATORY

NDM-2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, Delhi- 110034 Telephone: 011-47003600

Date :

SUBJECT: DEMAT DEBIT PLEDGE INSTRUCTION (DDPI) AUTHORISATION

/ WE

_(name of the BO), having UCC Code: _

_ hold a Demat Beneficiary account No. (BO-ID) with Central Depository Services (India) Limited and National Securities Depository Limited, through SS Corporate Securities Limited (SSCSL), bearing CDSL DP D-12062000 & NSDL DP D N303108 and Whereas and an Investor engaged in buying and selling of securities through SS Corporate Securities Limited, bearing a member of BSE, NSE & MCX-SX having SEBI Regd. No. INZ000219533 having office at NDM-2, 3rd floor, Block-D, Netaji Subhash Place, Pitampura, Delhi-110034.

For my/our convenience & in order to facilitate the proper execution of all transactions done by me/us with SS CORPORATE SECURITIES LTD. // We hereby agree & authorize SS Corporate Securities Ltd to operate my/our Demat / (BO) account(s) opened/ to be opened and mapped with my/our aforesaid Trading Account to transfer /margin pledge / margin re-pledge / margin de-pledge securities from my/our BO account(s) only to SS Corporate Securities Ltd BO account(s) and/or Exchange(s) related Pool & Margin Account of SS Corporate Securities Ltd specified herein below.

Sr. No.	DP D/CM-BP-ID		Client D
1	N303108/N563495	10001296	(NSE Payin Account=NSDL)
2	N303108/IN632686	10080323	(BSE Payin Account-NSDL)
3	N303108	10279212	(Margin Pledge Account–NSDL)
4	12062000	00011533	(Margin Pledge Account=CDSL)
5	12062000	00002121	(NSE Payin Account=CDSL)
6	12062000	0000031	(BSE Payin Account-CDSL)
7	12062000	00000046	(BSE Principal Account-CDSL)
8	12062000	00002647	(MSE Payin Account-CDSL)

Please further note that while I/We am/are entitled to revoke this DDPI authorisation any time, by sending signed physical letter of revocation, through Regd/Speed postat your above address. //We agree that till time my/our revocation request will be processed and updated in your records, SS Corporate Securities Ltd will continue to be authorized to transfer/margin pledge/ margin re-pledge/ margin de-pledge securities under this DDPI authorization against my/our obligations, if any, in my/our captioned trading/demat account.

	. Transfer of securities held in my/our beneficial owner account(s) towards Stock Exchange(s) related deliveries / settlement obligations arising out oft executed by me/us on the Stock Exchange(s) through you.				
ignature ofClient*	Signature of First Accountholder Name	Signature of Second Accountholder Name	Signature of Third Accountholder Name		
Purpose - 2.	Pledging / re-pledging of securities in favour of SS Corporate Securities Ltd as trading member (TM) / clearing member (CM) for the purpose of meeting my/our margin requirements in connection with the trades executed by me/us on the Stock Exchange(s).				
ignature ofClient*	Signature of First Accountholder Name	Signature of Second Accountholder Name	Signature of Third Accountholder Name		
Purpose - 3.	Mutual Fund transactions being executed on Sto	ck Exchange order entry platforms			
gnature ofClient*	Signature of First Accountholder Name	Signature of Second Accountholder Name	Signature of Third Accountholder Name		
Purpose= 4.	Tendering shares in open offers through Stock Exchange platforms				
gnature of Client*	Cal Signature of First Accountholder Name	Signature of Second Accountholder Name	Signature of Third Accountholder Name		

[Note : To be signed by all the accountholders in whose name the Demat Account(s) is/are opened/ to be opened & mapped with the trading account having abovementioned Unique Client Code]

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

- Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
- 2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- 3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- 4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- 5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- 6. You will get a contract note from the stock broker within 24 hours of the trade.
- 7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
- 8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
- 9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
- 10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

E

Client Signature

Name : ___

FACILITY FOR VOLUNTARY FREEZING/ BLOCKING THE ONLINE ACCESS OF THE TRADING ACCOUNT

(Not applicable to clients who do not have online trading access with SS Corporate Securities Ltd)

Introduction

In order eliminate risk of suspicious activities in clients trading accounts and to enhance ease of doing business & ease of investment. We do hereby inform and notify you that we have made a policy for voluntary freezing/blocking the online access of the trading accounts through which Client may request to freeze/block the online access of his/her trading account on account of suspicious activities, in compliance of SEBI Circular No. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated 12-Jan-2024 & NSE Circular No. 24/2024 dated 08-Apr-2024. The policy for the facility of voluntary freezing/blocking of trading accounts by clients is a significant measure implemented to enhance the security and integrity of the trading environment. This policy allows clients to proactively safeguard their trading account against unauthorized access or potential fraudulent activities. Under this framework, clients have the autonomy to request the freezing or blocking of their online trading account access. This can be particularly useful in scenarios where they suspect suspicious activities or as a precautionary step when they will not be trading for an extended period.

Mode of communication

The process for initiating a freeze/block online access of the trading accounts is typically straight forward and client-centric. Clients may request either through the following options to freeze/block their trading account:

- Option A: Clients can send an email to stoptrade@sscorporate.com from their registered email ID. Upon receipt of the email, we will call the client on their registered mobile number to confirm the request. The client must accept the call and complete the necessary confirmation steps.
- Option B: Clients can call on the number 011-47003670 to initiating a freeze/block online access of the trading accounts.

Issuance of acknowledgment

- i. An acknowledgement of the freezing/blocking request will be sent to the client's registered email address.
- ii. Details of any open positions will be provided.

Simultaneous cancellation of all the pending orders of the said client

- i. All pending orders of the client in all segments shall stand cancelled.
- ii. No fresh orders shall be allowed to be placed through online access.

Processing of Requests:

Scenario 1 (During Trading Hours): Requests received during trading hours or up to 15 minutes before the start of trading will be processed within 15 minutes.

Scenario 2 (After Trading Hours): Requests received after trading hours or within 15 minutes before the start of the next trading session will be processed before the start of the next trading session.

Risk Management

It is clarified that:

- i. Freezing/blocking is only for the online access to the client's trading account, and there shall be no restrictions on the Risk Management activities of SS Corporate Securities Ltd.
- ii. The request for freezing/ blocking does not constitute request for marking client Unique Client Code (UCC) as inactive in the Exchange records.

Process for re-enablement

We shall re-enable online trading in the client's account after carrying out necessary due diligence including verifying the client using Two Factor Authentication and validating the client request and unfreezing the online trading access of the client account. For unfreezing of the online access of trading account, the client will have to get in touch on the dedicated phone number (011-47003670) and submit a request via their registered email address to **stoptrade@sscorporate.com**.

COMPLETENESS OF FORM

	Verified by	Approved by
Name	:	Name :
Signature	:	Signature :
Date	:	Date :
	Entered in Back office System by	Entered in Surveillance System by
Name	Entered in Back office System by	Entered in Surveillance System by Name :
Name Signature		

Client Name	:	
Branch	:	
Received on	:	
Relationship Manager	:	
Dealer	:	
Introducer	:	



X

Equity

IPO



SS Corporate Securities Ltd.

Commodity



Currency



Insurance



Mutual Fund

You can now connect us through the following Apps



SS Corporate Insta Invest for Mutual Funds



SS Smart Trade for **Trading**

Available for download on:



Available on the App Store

SS Corporate Securities Limited

NDM-2, Block-D, 3rd Floor, Netaji Subhash Place, Pitampura, Delhi-110034

Tel. Nos. : 011-47003600, Fax No. :011-47003601



Website: www.sscorporate.com

Email: info@sscorporate.com