

SS CORPORATE SECURITIES LTD.

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Acknowledgement No. _____

For office use only

Application Type* New Update

(To be filled by financial institution)

KYC Number

(Mandatory for KYC update request)

Account Type*

Normal Simplified (for low risk customers) Small

1. PERSONAL DETAILS (Please refer instruction A at the end)

Prefix First Name Middle Name Last Name

Name* (Same as ID proof) _____

Maiden Name (If any*) _____

Father / Spouse Name* _____

Mother Name* _____

Date of Birth* - -

Gender* M- Male F- Female T-Transgender

Marital Status* Married Unmarried Others

Citizenship* IN- Indian Others (ISO 3166 Country Code)

Residential Status* Resident Individual Non Resident Indian
 Foreign National Person of Indian Origin

Occupation Type* S-Service (Private Sector Public Sector Government Sector)
 O-Others (Professional Self Employed Retired Housewife Student)
 B-Business X- Not Categorised

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

2. CONTACT DETAILS (All communications will be sent on provided)

Tel. (Off) _____ Tel. (Res) _____ Fax _____

Mobile _____ Self Spouse Dependent Child Dependent Parent

Email ID _____ Self Spouse Dependent Child Dependent Parent

I hereby declare that the aforesaid Mobile Number and E-Mail ID belongs to me or my family members and My relationship with the holder of above

3. PROOF OF IDENTITY (PoI)* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

A- Passport Number _____

Passport Expiry Date - -

B- Voter ID Card _____

C- PAN Card _____

D- Driving Licence _____

Driving Licence Expiry Date - -

E- UID (Aadhaar) _____

F- NREGA Job Card _____

Z- Others (any document notified by the central government) _____

Identification Number _____

S- Simplified Measures Account - Document Type code _____

Identification Number _____

4. PROOF OF ADDRESS (PoA)*

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential / Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar)
 Voter Identity Card NREGA Job Card Others _____ please specify _____
 Simplified Measures Account - Document Type code _____

Address

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction E at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

Line 1* _____

Line 2 _____

Line 3 _____ City / Town / Village* _____

District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____

**SS CORPORATE
SECURITIES LIMITED**

FATCA & CRS Declaration - Individual

PAN Trading DP Code

Name

Place of Birth Country of Birth

Nationality

Annual Income Below Rs. 1 Lac Rs. 1 Lac to 5 Lac Rs. 5 Lac to 10 Lac
 Rs. 10 Lac to 25 Lac Rs. 25 Lac to 1 Crore >1 Crore

Net Worth **Amount Rs.**..... Net Worth as on
(Net worth should not be older than 1 year)

Occupational Business Private Sector Professional Government Service Public Sector
 Detail Agriculturist Housewife Student Retired Forex Dealer Others Pl. Specify

Politically Exposed Person (PEP) Related to Politically Exposed Person (RPEP) Not a Politically Exposed Person (RPEP)

Are you a tax resident of any country other than India Yes No


If yes please indicates the all countries in which you are resident for tax purpose and the associated Tax ID number below.

Sr. No.	Country	Tax Identification Number	Identification Type <i>(TIN or Other, please specify)</i>
1.			
2.			
3.			

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform SS Corporate Securities Limited for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Sign here : (I) 

Date :

Place :

For Investor convenience, SS Corporate Securities Limited collecting this mandatory information for updating across all Group Companies of SS Corporate Securities Limited whether you are already an investor or would become an investor in future.

Please submit the form fully filled, signed, for all the holders, separately, and submit at your nearest SS Corporate Securities Limited branch or you can dispatch the hard copy to-

SS Corporate Securities Limited
NDM 2, Block D, 3rd Floor, Netaji Subhash Place,
Pitam Pura, Delhi-I 10034

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Individual KYC Application Form

General Instructions:

- 1 Fields marked with "*" are mandatory fields.
- 2 Tick '✓' wherever applicable.
- 3 Self-Certification of documents is mandatory.
- 4 Please fill the form in English and in BLOCK Letters.
- 5 Please fill all dates in DD-MM-YYYY format.
- 6 Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- 7 KYC number of applicant is mandatory for updation of KYC details.
- 8 For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
- 9 In case of 'Small Account type' only personal details at section number 1 and 2, photograph, signature and self-certification required.

A Clarification / Guidelines on filling 'Personal Details' section

- 1 **Name:** Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

- 1 **Tax identification Number (TIN):** TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

C Clarification / Guidelines on filling 'Proof of Identity [PoI]' section

- 1 If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- 2 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
- 3 In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (S).

Document Code	Description
01	Identity card with applicant's photograph issued by Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

D Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section

- 1 PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- 2 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 3 In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

Document Code	Description
---------------	-------------

1. Gross Annual Income Details Please Tick ()
 - 01 Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
 Below ₹ 1 Lac ₹ 1-5 Lac ₹ 5-10 Lac ₹ 10-25 Lac ₹ 25 Lac - 1 Crore ₹ More than 1 Crore
2. Net-worth (Net worth should not be older than 1 year) Amount ₹ _____ as on (date) | D | D | / | M | M | / | Y | Y | Y | Y |
 - 02 Property or Municipal Tax receipt.
 - 03 Bank account or Post Office savings bank account statement.
 - 04 Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
 - 05 Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
 - 06 Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

E Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

- 1 To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- 2 In case of multiple correspondence / local addresses, Please fill 'Annexure A1'

F Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

G Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person if available.

H Clarification / Guidelines on filling 'Related Person details – Proof of Identity [PoI] of Related Person' section

- 1 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

Know Your Client (KYC)**Application Form (For Individuals Only)**

Please fill the form in ENGLISH and in BLOCK letters

Fields marked * are mandatory

Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

**CDSL VENTURES LIMITED**

...Exploring New Horizons

**SS Corporate Securities Limited**

Application Number: _____

Application Type*: New KYC Modification KYC**KYC Mode*:** Please Tick (✓) Normal EKYC OTP EKYC Biometric Online KYC Offline EKYC Digilocker**1. Identity Details** (please refer guidelines overleaf)

PAN* _____ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) _____

Maiden Name+ (if any) _____

Fathers/Spouse's Name* _____

Date of Birth* _____

Gender* Male Female TransgenderMarital Status* Single MarriedNationality* Indian Other _____Residential Status* Resident Individual Non Resident IndianPlease Tick (✓) Foreign National Person of Indian Origin+

(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)

Recent passport size
Applicant Photo

Cross Signature across photograph

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

 A — Aadhaar Card XXXX XXXX ____ (Expiry Date) _____ B — Passport Number _____ (Expiry Date) _____ C — Voter ID Card _____ (Expiry Date) _____ D — Driving License _____ E — NREGA Job Card _____ F — NPR _____ Z — Others _____ (any document notified by Central Government)

Identification Number _____

2. Address Details* (please refer guidelines overleaf)**A. Correspondence/ Local Address***

Line 1* _____

Line 2 _____

Line 3 _____

City/Town/Village* _____ District+ _____ Pin Code* _____

State* _____ Country* _____

Address Type* Residential/Business Residential Business Registered Office Unspecified

Applicant e-SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* _____
 Line 2 _____
 Line 3 _____
 City/
 Town/Village* _____ District+ _____ Pin Code* _____
 State* _____ Country* _____
 Address Type* Residential/Business Residential Business Registered Office Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

A — Aadhaar Card XXXX XXXX ____ _
 B — Passport Number _____ (Expiry Date) _____
 C — Voter ID Card _____
 D — Driving License _____ (Expiry Date) _____
 E — NREGA Job Card _____
 F — NPR Letter _____
 Z — Others _____ (any document notified by Central Government)
 Identification Number _____

3. Contact Details (in CAPITAL)

Email ID* _____
 Mobile No. * _____
 Tel (off) _____ Tel (Res) _____

4. Applicant Declaration

<p>I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.</p> <p>I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.</p> <p>I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.</p> <p>DATE: _____ (DD-MM-YYYY) PLACE: _____</p>	Applicant e-SIGN	Applicant Wet Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*	Intermediary Details*
IPV Date _____ Emp. Name _____ Emp. Code _____ Emp. Designation _____	<input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested) AMC / Intermediary Name : SS Corporate Securities Limited
Employee Signature and Stamp	Institution Name and Stamp

SSCorporate Securities Ltd. (NSE/BSE/DPID-IN303108 / IN303399/12062000)

NDM2,Block-D,3rdFloor,Netaji Subhash Place, Pitampura, Delhi-110034

ContactNo.011-47003600(30Lines)

Instruction No:.....

Subject:Change In Bank Details/Address /MobileNo./Income/ EmailID

Date:.././.....

Demat No..... Trading Code.....in the Name of.....

CHANGE IN BANK DETAILS:

PresentBankDetails	NewBankDetail
Bank Name:	Bank Name:.....
Address:.....	Address:.....
.....
Account No:	Account No:
Type Of Account:	Type Of Account:
MICR: IFSC:.....	MICR: IFSC:.....

CHANGE IN ADDRESS:

PresentAddress	NewAddress
.....
.....
.....
State: PinCode:	State: PinCode:

CHANGE IN DEMAT ACCOUNT (FOR UPDATION IN TRADING ACCOUNT ONLY)

DP ID		DP Name	
Client ID		Client Names(As per DP Client Master)	
Mark above mentioned DP Details as Default in Trading Account : Yes <input type="checkbox"/> / No <input type="checkbox"/>			

CHANGE IN MOBILE NUMBER/E-MAILID/INCOME:-

	1 st Holder	2 nd Holder	3 rd Holder
AdhaarNo.			
AnnualIncome			
MobileNo.			
Relationship with person whose Mobile no. is provided	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependentschildren <input type="checkbox"/> Dependentsparents	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependentschildren <input type="checkbox"/> Dependentsparents	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependentschildren <input type="checkbox"/> Dependentsparents
EmailID			
Relationship with person whose Mobile no. is provided	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependentschildren <input type="checkbox"/> Dependentsparents	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependentschildren <input type="checkbox"/> Dependentsparents	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependentschildren <input type="checkbox"/> Dependentsparents
SMSFacilityFlag	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> No <input type="checkbox"/>
ModeofReceivingStatementofAccounts: <input type="checkbox"/> PhysicalMode <input type="checkbox"/> ElectronicMode			
I wish to receive-statement:- <input type="checkbox"/> Yes <input type="checkbox"/> No			

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I am aware of other modes of KYC which are available and I have chosen Aadhaar based method voluntarily. My Aadhaar record can be used by KRA only for the specific purpose of validating / maintaining / sharing my KYC record and as an audit evidence. I will have an option to request for deletion of my Aadhaar record. I/We hereby consent to receiving information from KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.



(Sole/FirstHolder)



(SecondHolder)



(Third Holder)

(Proof of Bankdetailwithcancelled Cheque/FreshCKYC formforChange in Name/Address/Mobile/EmailReqd)

For Office Use Only:-

KRA/CKYC No. : _____ dated _____ CKYC Data Updatedby : _____

CKYC Validated: Yes/ No Updated in Trading : Yes / No Updation in Trading done by : _____

Terms and conditions

Definition

In these terms and conditions the following terms shall have the following meanings:

“Alerts” of “Facility” means the customized messages with respect to specific events/transactions relating to an investor’s Accounts sent as Short Messaging Service (SMS) over mobile phone to the investor;

“Investor” means the person who holds an Account;

“Account” means the demat account of the investor with NSDL maintained through its Depository

Participant; “ISIN” means an International Securities Identification Number assigned to a security;

“CSP” means the cellular service provider through whom the investor or NSDL receives the mobile services.

Availability

NSDL at its sole discretion may discontinue the facility at any time by providing a prior intimation through its website or any other medium of communication. NSDL may at its discretion extend the facility to investors whose registered mobile phones originate outside India.

The alerts would be generated by NSDL and will be sent to the investors on the mobile number provided by the investor and the delivery of the Alert would be entirely based on the service availability of the service provider and connectivity with other cellular circles of the CSPs or in circle forming part of the roaming GSM network agreement between such CSPs. The Alerts are dependent on various factors including connectivity and, therefore NSDL cannot assure final and timely delivery of the Alerts.

The investor will be responsible for the security and confidentiality of his/her Mobile phone and mobile phone number to be used for this facility.

Process

This facility provides alerts to investors over mobile phones for the debits (transfers) and for credits in respect of corporate actions for IPO and offer for sale that have taken place in their accounts, a day after the debit (transfer) /credit. These Alerts will be sent to those investors who have requested such a facility and have provided their mobile numbers to their Depository Participants. Alerts will be sent for debits, if the debits (transfers) are up to five ISINs in a day. In case debits (transfers) are for more than five ISINs, Alerts will be sent with a message that debits for more than five ISINs have taken place and that the investor can check the details with DP or on IdeAS website, if the investor is an IdeAS subscriber. Investors who have provided their mobile numbers to Depository Participant but do not wish to avail of this facility may do so by informing their Depository Participant accordingly.

The investor is duly bound to acquaint himself / herself with the detailed process for using the facility and interpreting the alerts for which NSDL is not responsible for any error/omissions by the investor.

The investor acknowledges that the alerts will be implemented in a phased manner and NSDL may at a later stage, as and when feasible, add more alerts. NSDL may, at its discretion, from time to time change the features of any Alert. The investor will be solely responsible for keeping himself/herself updated of the available Alerts, which shall, on best-effort basis, be notified by NSDL through its website or any other medium of communication.

Receiving Alerts

The investor is solely responsible for intimating in writing to his/her Depository Participant any change in his/her mobile phone number and NSDL will not be liable for sending Alerts or other information over his/her mobile phone number recorded with NSDL.

The investor acknowledges that to receive Alerts, his/her mobile phone must be in an ‘on’ mode. If his/her mobile is kept ‘off’ for a specified period from the time of delivery of an Alert message by NSDL, that particular message may not be received by the investor. The investor acknowledges that the facility is dependent on the infrastructure, connectivity and services provided by the CSPs within India. The investor accepts that timeliness; accuracy and readability of Alerts sent by NSDL will depend on factors affecting the CSPs and other service providers. NSDL shall not be liable for non-delivery or delayed delivery of Alerts, error, loss or distortion in transmission of Alerts to the investor.

NSDL will endeavor to provide the facility on a best effort basis and the investor shall not hold NSDL responsible/liable for non-availability of the facility or non performance by any CSPs or other service providers or any loss or damage caused to the investor as a result of use of the facility (including relying on the Alerts for his/her investment or business or any other purposes) for causes which are attributable to /and are beyond the control of NSDL. NSDL shall not be held liable in any manner to the investor in connection with the use of the facility.

The investor accepts that each Alert may contain certain account information relating to the investor. The investor authorizes NSDL to send any other account related information, though not specifically requested, if NSDL deems that the same is relevant.

Withdrawal or Termination

NSDL may, in its discretion, withdraw temporarily or terminate the facility, either wholly or in part, at any time. NSDL may suspend temporarily the facility at any time during which any maintenance work or repair is required to be carried out or in case of any emergency or for security reasons, which require the temporary suspension of the facility.

Notwithstanding the terms laid down in clause 1.5.1 above, either the investor or NSDL may, for any reason whatsoever, terminate this facility at any time. In case the investor wishes to terminate this facility, he/she will have to intimate his/her Depository Participant accordingly.

Fees

At present, NSDL levying no charge for this facility on the Depository Participants. The investor shall be liable for payment of airtime or other charges which may be levied by the CSPs in connection with the receiving of the Alerts, as per the terms and conditions between the CSPs and investors, and NSDL is in no way concerned with the same.

Disclaimer

This facility is only an Alert mechanism for the investors and is not in lieu of the Transaction Statements required to be provided by the Depository Participant to its clients.

NSDL

shall not be concerned with any dispute that may arise between the investor and his/her CSP and makes no representation or gives no warranty with respect to the equality of the service provided by the CSP or guarantee for timely delivery or accuracy of the contents of each Alert.

The investor shall verify the transactions and the balances in his/her account from his/her Depository Participant and not rely solely on Alerts for any purpose.

NSDL will not be liable for any delay or inability of NSDL to send the Alert or for loss of any information in the Alerts in transmission.

Liability

NSDL shall not be liable for any losses, claims and damages arising from negligence, fraud, collusion or violation of the terms herein on the part of the investor and/or a third party.

For receiving Statement of Account in electronic form:

Client must ensure the confidentiality of the password of the email

account. Client must promptly inform the Participant if the email address has changed.

Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.

Requirements

For Change in Bank Details:

- Copy of Cancel Cheque having Name and Account Number of Account Holder printed on it. In case of Non printing of Name & Account number on cancelled cheque, Latest Bank Statement or Bank Pass Book. (Not older than three Months)

For Change in Address:

- KYC need to be updated for change of Address. (Copy of Address Proof and Pan Card with KYC Form and Modification form required) (Kindly Attach Proof of Identity for any type of modifications in demat account.)

Nomination Form

[Annexure A to SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/23 dated February 24, 2022 on Nomination for Eligible Trading and Demat Accounts – Extension of timelines and relaxations for existing account holders]

TM / DP												FORM FOR NOMINATION															
SS Corporate Securities Ltd NDM-2 Block –D, 3 rd Floor Netaji Subhash Place Delhi-34												(To be filled in by individual applying singly or jointly)															
Date	D	D	M	M	Y	Y	Y	Y	UCC/ DP ID	I	N							Client ID									
I/We wish to make a nomination. [As per details given below]																											
Nomination Details																											
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																											
Nomination can be made upto three nominees in the account.						Details of 1 st Nominee						Details of 2 nd Nominee						Details of 3 rd Nominee									
1	Name of the nominee(s) (Mr./Ms.)																										
2	Share of each Nominee	Equally <small>(If not equally, please specify percentage)</small>					%						%						%								
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>																											
3	Relationship With the Applicant(If Any)																										
4	Address of Nominee(s)																										
						City / Place: State & Country:																					
						PIN Code																					
5	Mobile / Telephone No. of nominee(s) #																										
6	Email ID of nominee(s) #																										
7	Nominee Identification details # [Please tick any one of following and provide details of same]																										
						<input type="checkbox"/> Photograph & Signature PAN <input type="checkbox"/> Aadhaar Saving Bank account no. Demat Account ID																					
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:																											
8	Date of Birth {in case of minor nominee(s)}																										
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s) }																										

10	Address of Guardian(s)				
	City / Place: State & Country:				
		PIN Code			
1	Mobile / Telephone no. of Guardian #				
1 2	Email ID of Guardian #				
1 3	Relationship of Guardian with nominee				
1 4	Guardian Identification details # [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN account no. Proof of Identity <input type="checkbox"/> Demat Account ID				
Name(s) of holder(s)				Signature(s) of holder*	
Sole / First Holder (Mr./Ms.)					
Second Holder (Mr./Ms.)					
Third Holder (Mr./Ms.)					

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature
Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Name and Signature of Holder(s)*		
1. _____	2. _____	3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

//ANNEXURE - REACTIVATION OF DORMANT ACCOUNT

Dear Sir,

I/we _____ (Client Name - Individual/ Non Individual) having trading account with Unique Client Code _____ allotted to me/us by your broking house situated at _____ (Branch Name) since _____ (Date of Activation of the Account).

I/we am/are not trading in Cash / Currency / F&O Segments on the NSE / BSE / MSEI Trading platform since ____/____/____ (Last Trade Date). However, I/we am/are desirous to start trading in Cash / Currency / F&O Segments on the NSE / BSE / MSEI platform. In this regard, you are requested to reactivate my/our trading account and allow trading with immediate effect.

I/we hereby confirm that:-

1. I/We have completed all the KYC formalities and submitted all the required documents thereof (Proof of Identity, Address Proof, Bank Proof, PAN, etc.), at the time of opening the trading account originally and enrolling as a client with you.
2. There are no changes in respect of my/our Bank account provided to you earlier. Further, I confirm that the address and contact details recorded with you remain unchanged, and same is given below.

Address	_____ _____ _____		
Telephone No:	Mobile No:		
<input type="checkbox"/> Self	<input type="checkbox"/> Spouse	<input type="checkbox"/> Dependent children	<input type="checkbox"/> Dependent parents
Email ID:	_____		
<input type="checkbox"/> Self	<input type="checkbox"/> Spouse	<input type="checkbox"/> Dependent children	<input type="checkbox"/> Dependent parents

I/we declare that the information given above is true to my/our knowledge. I/we, therefore, request you that the requirement of fresh KYC may not be insisted upon.

Yours faithfully,

Signature

Date:

Note:

1. To be taken on the Letterhead in case of Non-Individual Account.
2. Affix company seal near to signature in case of Non-Individual Account.
3. Affix Karta seal near to signature in case of HUF Account.
4. In case of address change, please submit Account Modification Request along with self attested Identity & Address Proofs. Original documents to be produced for verification.