



**SS CORPORATE  
SECURITIES LTD**

# Customer Registration Form

(For Individuals and Non-Individuals)

Branch/ AP Name \_\_\_\_\_

Client Name \_\_\_\_\_

Trading Id/UCC \_\_\_\_\_

DP Client Id \_\_\_\_\_

A/ C Opening Date \_\_\_\_\_

*One Stop Solution For  
All Your Financial Needs!!*





# SS CORPORATE SECURITIES LTD

CIN : U74899DLI994PLC062572

**Corporate Office:** NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi-110034

E-mail: info@sscscorporate.com Tel: 011-47003600 ( 30 Lines) Website : www.sscscorporate.com

**Registered Office:** NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi 110034

EXCHANGE & DEPOSITORY NAME	MEMBERSHIP ID / DP ID	SEBI REG. Number
NSE	07538	
BSE	3268	INZ000219533
NSDL	IN303108	IN-DP-530-2020
CDSL	12062000	

### Compliance Officer (NSE & BSE)

Name : Mr. Rajesh Gupta  
Phone No. : +91-11-47003602/3632  
E-mail Id : rajesh@sscscorporate.com

### Compliance Officer (NSDL & CDSL)

Name : Mr. Sanjeev Kumar Rana  
Phone No. : +91-11-47003650 .  
E-mail Id : dp@sscscorporate.com

### Director

Name : Mr Sunil Kumar Gupta  
Phone No : +91-11-47003610/3611  
E-mail Id : sgupta@sscscorporate.com

## CONTACT DETAILS FOR INVESTOR GRIEVANCES

For any grievance/dispute please contact at the above address or mail us at email id- investorgrievance@sscscorporate.com or contact us on 011-47003600. In case not satisfied with the response, please contact the concerned exchange(s)/depository(ies)at :

Exchange/Depository Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd. (NSE)	ignse@nse.co.in	022-26598190 / 1800 266 0058
Bombay Stock Exchange Ltd. (BSE)	is@bseindia.co.in	022-22728097
National Securities Depository Limited (NSDL)	relations@nsdl.co.in	022-24994200
Central Depository Services (India) Limited (CDSL)	complaints@cdslindia.com	1800 200 5533

You can also login your grievance with SEBI at <http://scores.gov.in>. For any queries, feedback or assistance, please contact SEBI office on Toll Free Helpline at 1800 22 7575/1800 266 7575.

### FOR OFFICE USE ONLY - PAYMENT DETAILS

Amount	Cash/Cheque	Cheque No.				
Drawn On	Date	Scheme	<input type="checkbox"/> Normal	<input type="checkbox"/> Security Deposit	<input type="checkbox"/> One Time Deposit	
Bank Details						
Checked By		Verified By				

## INSTRUCTIONS

### PLEASE READ THE FOLLOWING INSTRUCTIONS

#### Instructions for filling up and completing the account opening document:

1. Please ensure that the form is complete in all respects and copies of the required documents are attached.
2. Pin code is compulsory in the address details.
3. Please put full signature at all the places marked (✍) for this purpose, put initial on all pages & go through the terms.
4. Original documents will be required for verification at the time of submission of the form.
5. Please fill all information in capital letters.
6. Please affix (not staple) a recent passport size colour photograph(s) on the space provided & sign across the photograph.
7. Please furnish a original cancelled cheque for bank account verification.
8. Please go through Mandatory / Voluntary documents.
9. We do not accept cash, always draw your cheque in favour of 'SS Corporate Securities Limited.'

**I have read all the above instructions/documents (part of account opening kit) carefully and well understood them.**

Client Name : \_\_\_\_\_

## ACKNOWLEDGEMENT

To  
**SS Corporate Securities Limited,**  
 NDM 2, Block D, 3rd Floor, Netaji Subhash Place,  
 Pitampura, New Delhi-110034




Date: / /

Sub: Declaration for Opening of Trading and/or Demat Account along with Acceptance of KYC Document Booklet (Client Copy)

Trading ID / UCC	<input type="checkbox"/> NSDL	<input type="checkbox"/> CDSL
DPID IN303108 CLIENT ID		DPID 12062000 CLIENT ID

Dear Sir,

1. I/We am/are desirous of opening the Trading Account (for Equity and/or Commodity) and Depository Account with SS Corporate Securities Limited and I/We am/are in the process of executing Client Registration documents relating to the opening of the Trading &/or Demat Account.
2. I/We have furnished all the details required in the KYC form as per SEBI/ Exchanges/ Depository requirements. I/We confirm having read/been explained understood the contents of the KYC documents which are provided to me/us in a separate Client Copy (additional booklet- KYC document). This Client Copy (additional booklet) includes the following :-
  - a. Account Opening Application Form/ KYC Registration Form
  - b. Brokerage Slab/ Tariff Sheet for Broking Services and Tariff Sheet/ Schedule of Charges for DP Services
  - c. Rights and Obligations of Stock Brokers, Authorised Person and Client including Internet & Wireless Technology Based Trading Facility provided by Stock Broker to Client as prescribed by SEBI and Stock Exchanges
  - d. Risk Disclosure Document for Capital Market and Derivatives Segment (RDD) including Guidance Note-Do's and Don'ts for Trading on the Exchange(s) for Investors
  - e. Policies and Procedures - Equity
  - f. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depository
  - g. Rights and Obligations of Members, Authorised Persons and Clients including Internet & Wireless Technology Based Trading Facility provided by Member to Client as prescribed by SEBI and Commodity Exchanges
  - h. Risk Disclosure Document for Commodity Derivative Segment (RDD) including Guidance Note-Do's and Don'ts for Trading on the Exchange(s) for Investors- Commodity Derivative Segment
  - i. Additional Risk Disclosure Document for Options Trading in Commodity Derivative Segment
  - j. Policies and Procedures – Commodity
  - k. Terms & Conditions
  - l. Addendum to the Client Registration Form/ Key Information on Anti Money Laundering
  - m. SMS Alert Facility (Terms and Conditions given as Annexure- 2.4) – CDSL Client Account
  - n. Transactions Using Secured Texting Facility (TRUST) (Terms and Conditions as Annexure - 2.6) – CDSL Client Account
3. I/We understand that the Client Copy (additional booklet) is in accordance of the exchanges and/or SEBI/DP requirements applicable for opening Trading/DP account. I/We understand and agree that any amendment/modifications as required by the Exchanges/DP and/or regulators will be applicable to me/us at all point of time and changes if any in future will be intimated to me/us.
4. Further. I/We also confirm that all the information furnished by me/us in this form is true. I/We confirm that I/We have read/been explained and understood the Terms & Conditions, Rights and Obligations, Risk Disclosure, Policy & Procedures and their Terms & Conditions and General Information mentioned in the above mentioned documents which are provided to me/us in the Client Copy (additional booklet).

Name of Account Holder(s)	First/Sole Holder	Second/Joint Holder	Third Holder
Signatures of Account Holder(s)	 First/Sole Holder	 Second/Joint Holder	 Third Holder

## ACCOUNT OPENING KIT

### INDEX OF DOCUMENTS

S. No.	Name of Document	Brief Significance of the Document	Page No.
<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI, DEPOSITORY &amp; EXCHANGES</b>			
1.	Account Opening Form	A. KYC Form - Part I / CKYC Form ( For Individual) - Documents capture the basis about the constituent & an instruction/checklist (to be sent to KRA/CERSAI). B. KYC Form - Part I (Non Individual) - Documents capture the basis about the constituent & an instruction/checklist (to be sent to KRA) C. Self Declaration Form - FATCA & CRS - Individual Accounts D. KYC Form - Part II Documents captures the basis about the constituent relevant to trading account & Demat account.	1 to 2 3 to 5 6 to 7 8 to 13
2.	Tariff Sheet – Equity & Commodity	Document detailing the rate/amount of brokerage & other charges levied the client for trading in the stock/commodity exchange(s).	16
3.	Tariff Sheet – Demat	Schedule of Charges/ Tariff Sheet for DP Services	17 to 18
4.	Disclosure for PRO Trading	Disclosure by member for Proprietary Trading	19
<b>VOLUNTARY DOCUMENTS AS PROVIDED BY STOCK BROKER/ MEMBER AND DEPOSITORY PARTICIPANT</b>			
5.	Standing Instruction / Authorisation (Authorisation by Client to Stock Broker/ Member/DP)	1. For Running Account Maintenance	14-15
6.		2. Delivery Pay-In / Pay-Out	
7.		3. Declaration cum Undertaking for Commodity Accounts	
8.		4. Order Confirmation/ Modification Cancellation Instructions	
9.		5. Delivery of Order Confirmation/ Modification/ Cancellation Slips	
10.		6. Consent for receiving ECN and any documents/communications in electronic form from Stock Broker/ Member & Depository Participant	
11.		7. Declaration for Delivery of Trade Confirmation via SMS	
12.		8. Log Reports on Email & SMS	
		9. Confirmation for Trading in Electronic Mode	
		10. Confirmation for Trading through Online Trading Facility	
		11. Declaration for Aadhaar	
		12. For debiting various Broking & Depository charges	
	13. For sharing of Data and Information		
13.	Email & Mobile Declaration	Format of Request - For Common Email & Mobile Number	18
14.	Banker's Verification	Verification	19
15.	Ghoshna in Hindi (घोषणा)	Declaration	19
16.	HUF Declaration	Declaration by Karta & Co-Parceners for HUF Accounts	20
17.	Demat Debit and Pledge Instruction	Demat Debit and Pledge Instruction (DDPI) given by client to Broker/ Member for operational convenience	21
<b>CLIENT COPY (KYC DOCUMENT BOOKLET)</b>			
			Here, "H" means "Handed Over to Client"
<b>MANDATORY SECTION - TRADING AND DEMAT ACCOUNT (EQUITY)</b>			
1.	Rights and Obligations - Stock Broker	Rights and Obligations of Stock Brokers/ Trading Member, SubBroker/ Authorised Person and Client including additional Right and Obligation in case of Internet & Wireless Technology Based Trading Facility provided by Stock Broker/ Trading Member to Client as prescribed by SEBI and Stock Exchanges	H1 to H3
2.	Risk Disclosure Document (RDD)	Document detailing risk associated with dealing in the security/commodity/currency market.	H4 to H6
3.	Guidance Note	Document detailing Do's & Don'ts for trading on exchange, for the education of the investors.	H7
4.	Policies & Procedures	Document describing significant policies & procedures of the stock broker	H8 to H11
5.	Anti-Money Laundering (AML)	Understanding for clients about Anti Money Laundering (AML) provisions	H14 to H31
6.	Right & Obligations	Right & Obligations documents of Beneficial Owner & Depository Participant	H12 to H13
7.	Terms and Conditions for SMS alerts from CDSL	Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL [SMS Alerts will be sent by CDSL to BOs for all debits]	H32 to H33
8.	Terms and Conditions for TRUST service from CDSL	Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL	H34 to H35

**PART I - KNOW YOUR CLIENT (KYC) / CENTRAL KYC REGISTRY APPLICATION FORM (For Individuals)**



**SS CORPORATE SECURITIES LTD**

Application Type\*  New  Update

KYC NO. \_\_\_\_\_

Photograph

Please affix your recent

passport size photograph

Signature Across photograph

Please fill this form in ENGLISH and in BLOCK LETTERS

**A. IDENTITY DETAILS**

1.	Name of the Applicant															
	Maiden Name (if any)															
2.	Father / Spouse Name															
3.	Mother Name															
4.	a) Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender	b) Marital Status	<input type="checkbox"/> Single	<input type="checkbox"/> Married	c) Date of Birth	D	D	M	M	Y	Y	Y	Y
5.	a) Nationality	<input type="checkbox"/> Indian	<input type="checkbox"/> Others (Please specify _____)													
	b) Residential Status	<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Non Resident	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin											
6.	a) PAN															
	b) Aadhaar Number, if any	X	X	X	X	X	X	X	X	X	X	X	X	X	X	
7.	Specify the proof of identity submitted	<input type="checkbox"/> PAN Card	<input type="checkbox"/> Any other (Please specify _____)													

**B. ADDRESS DETAILS**

	Address Type	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified									
1.	Correspondence Address														
	City/Town/Village							PIN Code							
	State							Country							
2.	Specify the proof of address submitted for Residence/Correspondence address														
3.	Contact Details	Tel. (off.)				Tel. (Res.)				Fax No					
		Mobile No.				E-mail ID									
4.	Permanent Address (If different from above. Mandatory for Non-Resident Applicant to specify overseas address)														
	City/Town/Village														
								Country							

**C. DECLARATION**

<p>I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.</p> <p>I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number &amp;/or email address.</p>	Signature of the Applicant	
	Date	D D M M Y Y Y Y Place

**FOR OFFICE USE ONLY**

S No.	Particulars		
1	<input type="checkbox"/> Originals verified and Self-Attested Documents copies received		
2	In-Person-Verification (IPV) details / KYC Verification carried out By :		
	a) Name of the person doing IPV		
	b) Designation	Employee Code	online/reference
	c) Name of Organization	SS Corporate Securities Limited	
	d) Signature		
	e) Date	D D M M Y Y Y Y	
Name & Signature of the Authorised Signatory of <b>SS Corporate Securities Limited</b>		Seal/Stamp of the <b>SS Corporate Securities Limited</b>	
Date:	D D M M Y Y Y Y		

\* Separate KYC Application forms must be filled by each applicant i.e. (2nd Holder, 3rd Holder & Guardian)

## INSTRUCTIONS/CHECK LIST FOR KYC FORM (For Trading and Demat Account)

### A. IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA)

List of documents admissible as Proof Address:

(\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/Unique Identification Number (UID) (Aadhaar Letter).
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Gazetted Officer/Notary Public/Elected representatives to the Legislative Assembly/ Parliament Documents issued by any govt. or Statutory Authorities.
6. Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.
9. Aadhar Letter issued by UIDAI.

### D. Exemptions/Clarifications to PAN

(\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

**PART I - KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Non-Individuals)**



IDENTITY DETAILS												
1.	Name of the Applicant											
2.	Date of Incorporation	D	D	M	M	Y	Y	Y	Y	Place of Incorporation		
3.	Date of commencement of business	D	D	M	M	Y	Y	Y	Y			
4.	a) PAN									b) Registration No. (e.g. CIN)		
5.	Status (please tick any one)	<input type="checkbox"/> Private Limited Co. <input type="checkbox"/> Bank <input type="checkbox"/> Partnership <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Government Body <input type="checkbox"/> FI <input type="checkbox"/> Body Corporate <input type="checkbox"/> Non Government Organization <input type="checkbox"/> FII <input type="checkbox"/> Trust <input type="checkbox"/> Defense Establishment <input type="checkbox"/> HUF <input type="checkbox"/> Charities <input type="checkbox"/> Society <input type="checkbox"/> AOP <input type="checkbox"/> NGO's <input type="checkbox"/> LLP <input type="checkbox"/> BOI <input type="checkbox"/> Others (please specify)										

ADDRESS DETAILS													
1.	Correspondence Address												
		City/Town/Village							PIN Code				
		State							Country				
		2. Specify the proof of address submitted for correspondence address											
3.	Contact Details	Tel. (Off)					Tel. (Res.)				Fax No.		
		Mobile No.					E-mail ID						
4.	Registered Address (if different from above)												
		City/Town/Village							PIN Code				
		State							Country				

OTHER DETAILS												
1.	Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and Whole Time Directors							If Space is insufficient, enclose these details separately (illustrative format inclosed)				
2.	DIN of Whole Time Directors											
3.												

DECLARATION																	
I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misrepresenting, I am/we are aware that I/we may be held liable for it.								Name & Signature of the Authorised Signatory(ies)									
								Date				D	D	M	M	Y	Y

FOR OFFICE USE ONLY															
S No.	Particulars														
1	<input type="checkbox"/> Originals verified and Self-Attested Documents copies received														
2	In-Person-Verification (IPV) details:														
	a)	Name of the person doing IPV													
	b)	Designation													
	c)	Name of Organization						SS Corporate Securities Limited							
	d)	Signature													
e)	Date						D	D	M	M	Y	Y	Y	Y	
Name & Signature of the Authorised Signatory of <b>SS Corporate Securities Limited</b>								Seal/Stamp of the SS Corporate Securities Limited							
Date:								D	D	M	M	Y	Y	Y	Y

## INSTRUCTIONS / CHECKLIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/ Partners/ Karta/ Trustees and whole time directors and persons authorized to deal in securities on behalf of company/ firm/ others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity for non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA): - List of documents admissible as Proof of Address:

- (\*Documents having an expiry date should be valid on the date of submission.)
1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
  2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
  3. Bank Account Statement/Passbook -- Not more than 3 months old.
  4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
  5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/ Scheduled Co-Operative Bank/ Multinational Foreign Banks/ Gazetted Officer/ Notary public/ Elected representatives to the Legislative Assembly/ Parliament/ Documents issued by any Govt. or Statutory Authority.
  6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
  7. For FII/ sub account, Power of Attorney given by FII/ sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
  8. The proof of address in the name of the spouse may be accepted.
  9. Aadhaar Letter issued by UIDAI

### D. Exemptions/Clarifications to PAN (\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details

to the intermediary.

### E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

### F. In case of Non-Individuals, additional documents to be obtained from Non-Individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
<b>Corporate</b>	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>• Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).</li> <li>• Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.</li> <li>• Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.</li> <li>• Copies of the Memorandum and Articles of Association and certificate of incorporation.</li> <li>• Copy of the Board Resolution for investment in securities market.</li> <li>• Authorised signatories list with specimen signatures.</li> </ul>
<b>Partnership Firm</b>	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>• Certificate of registration (for registered partnership firms only).</li> <li>• Copy of partnership deed.</li> <li>• Authorised signatories list with specimen signatures.</li> <li>• Photograph, POI, POA, PAN of Partners.</li> </ul>
<b>Trust</b>	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>• Certificate of registration (for registered trust only).</li> <li>• Copy of Trust deed.</li> <li>• List of trustees certified by managing trustees/CA.</li> <li>• Photograph, POI, POA, PAN of Trustees.</li> </ul>
<b>HUF</b>	<ul style="list-style-type: none"> <li>• PAN of HUF.</li> <li>• Deed of declaration of HUF/ List of coparceners.</li> <li>• Bank pass-book/bank statement in the name of HUF.</li> <li>• Photograph, POI, POA, PAN of Karta.</li> </ul>
<b>Unincorporated association or a body of individuals</b>	<ul style="list-style-type: none"> <li>• Proof of Existence/Constitution document.</li> <li>• Resolution of the managing body &amp; Power of Attorney granted to transact business on its behalf.</li> <li>• Authorized signatories list with specimen signatures</li> </ul>
<b>Banks/ Institutional Investors</b>	<ul style="list-style-type: none"> <li>• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years.</li> <li>• Authorized signatories list with specimen signatures.</li> </ul>
<b>Foreign Institutional Investors (FII)</b>	<ul style="list-style-type: none"> <li>• Copy of SEBI registration certificate.</li> <li>• Authorized signatories list with specimen signatures</li> </ul>
<b>Army/ Government Bodies</b>	<ul style="list-style-type: none"> <li>• Self-certification on letterhead.</li> <li>• Authorized signatories list with specimen signatures</li> </ul>
<b>Registered Society</b>	<ul style="list-style-type: none"> <li>• Copy of Registration Certificate under Societies Registration Act.</li> <li>• List of Managing Committee members.</li> <li>• Committee resolution for persons authorised to act as authorised signatories with specimen signatures.</li> <li>• True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.</li> </ul>



**Details of Promoters/ Partners/ Karta / Trustees and Whole Time Directors forming a part of  
Know Your Client (KYC) Application Form for Non-Individuals**

**Name of Applicant**

**PAN of the Applicant**

1.	Name		<input type="text"/>										Photograph  Please affix your recent passport size photograph  <i>Signature Across photograph</i>
	Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.)		<input type="text"/>										
	PAN		<input type="text"/>										
	DIN of Whole Time Directors/Aadhaar No. of Promoters/Partners/Karta		<input type="text"/>										
	Residential / Registered Address		<input type="text"/>										
	City/Town/Village		PIN			<input type="text"/>							
	State		Country			<input type="text"/>							
	E-mail ID		Mobile No.			<input type="text"/>							
Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) Not PEP <input type="checkbox"/> Not RPEP													

2.	Name		<input type="text"/>										Photograph  Please affix your recent passport size photograph  <i>Signature Across photograph</i>
	Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.)		<input type="text"/>										
	PAN		<input type="text"/>										
	DIN of Whole Time Directors/Aadhaar No. of Promoters/Partners/Karta		<input type="text"/>										
	Residential / Registered Address		<input type="text"/>										
	City/Town/Village		PIN			<input type="text"/>							
	State		Country			<input type="text"/>							
	E-mail ID		Mobile No.			<input type="text"/>							
Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) Not PEP <input type="checkbox"/> Not RPEP													

3.	Name		<input type="text"/>										Photograph  Please affix your recent passport size photograph  <i>Signature Across photograph</i>
	Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.)		<input type="text"/>										
	PAN		<input type="text"/>										
	DIN of Whole Time Directors/Aadhaar No. of Promoters/Partners/Karta		<input type="text"/>										
	Residential / Registered Address		<input type="text"/>										
	City/Town/Village		PIN			<input type="text"/>							
	State		Country			<input type="text"/>							
	E-mail ID		Mobile No.			<input type="text"/>							
Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) Not PEP <input type="checkbox"/> Not RPEP													

4.	Name		<input type="text"/>										Photograph  Please affix your recent passport size photograph  <i>Signature Across photograph</i>
	Relationship with Applicant (i.e. Promoters, Whole Time Directors etc.)		<input type="text"/>										
	PAN		<input type="text"/>										
	DIN of Whole Time Directors/Aadhaar No. of Promoters/Partners/Karta		<input type="text"/>										
	Residential / Registered Address		<input type="text"/>										
	City/Town/Village		PIN			<input type="text"/>							
	State		Country			<input type="text"/>							
	E-mail ID		Mobile No.			<input type="text"/>							
Please tick, if applicable: <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP) Not PEP <input type="checkbox"/> Not RPEP													

**Name & Signature of the Authorised Signatory(ies)**

Date

Please fill all the details in **Block Letters** in English. Please mark (✓) on the appropriate column

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

Trading ID / UCC		<input type="checkbox"/> NSDL		<input type="checkbox"/> CDSL	
DPID <b>IN303108</b> CLIENT ID				DPID <b>12062000</b> CLIENT ID	
		First/Sole Holder		Second/Joint Holder	
1	Name of Account Holder(s)				
2	Are you U.S. Person	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	
3	Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other		<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other	
4	PAN				
5	Maiden Name (if any)				
6	Father's Name (mandatory if PAN is not provided)				
7	Marital Status	<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others		<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others	
8	Spouse's Name				
9	Date of Birth	D D M M Y Y Y Y Y		D D M M Y Y Y Y Y	
10	Country of Birth				
11	City, State of Birth with PIN Code				
Address of Tax Residency would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes					
12	Type of address given at KRA	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office		<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	
13	Occupation	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Other		<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Other	
14	Source of Wealth	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Royalty <input type="checkbox"/> Other Please specify		<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Royalty <input type="checkbox"/> Other Please specify	
15	Gross Annual Income (Rs.)	<input type="checkbox"/> <1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25Lacs <input type="checkbox"/> >25 Lacs		<input type="checkbox"/> <1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25Lacs <input type="checkbox"/> >25 Lacs	
16	Politically Exposed Person (PEP) or Related to PEP (RPEP) Status	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> Not Applicable		<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> Not Applicable	
17	Are you a TAX Resident of any country other than India	<input type="checkbox"/> Yes <input type="checkbox"/> No		<input type="checkbox"/> Yes <input type="checkbox"/> No	
If ticked "Yes" in point no.2 and 17, please indicate all countries in which you are resident for Tax purposes and the associated Tax ID Numbers below:					
18	Specify Country of Tax Residency* (If resident of more than one country, please specify all)				
19	Specify Country of Citizenship* (If citizen of more than one country, please specify all)				
20	Tax Identification Number* (US TIN) or Equivalent (other than India)				
21	Identification Type (TIN or others, please specify)				

\* To include all countries other than India, where investor is Citizen/Resident/Green Card Holder/ Tax Resident in those respective countries especially of USA.

**Certification**

I/We have understood the information requirements of this form (read along with the FATCA &amp; CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I/We have read and understood the FATCA &amp; CRS Terms and Conditions mentioned in converse side/next page and hereby accept the same.

22	Signatures of Account Holder(s)	 First/Sole Holder	 Second/Joint Holder	 Third Holder
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## FATCA & CRS Terms & Conditions

- Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian Financial Institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies.
- Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.
- Please note that you may receive more than one request for information if you have multiple relationships with SS Corporate Securities Limited. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
- SS Corporate Securities Limited be authorized to collect, store, disclose, share, remit in any form, mode or manner, all/ any of the information provided by you, including all changes, updates to such information as and when provided by you to SS Corporate Securities Limited, its affiliates, its employees or any Indian or Foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the TAX/ Revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising you of the same.
- SS Corporate Securities Limited is authorized to share the given information to other SEBI Registered Intermediaries to facilitate single submission/ updations & for other relevant purposes.
- In case of failure to disclose any material fact known to us, now or in future, may invalidate your application and SS Corporate Securities Limited would be within its right to put restrictions in the operations of you account or close it or report to any regulator and/or any authority designated by the Government of India (GOI) /Reserve Bank of India for the purpose or take any other action as may be deemed appropriate by SS Corporate Securities Limited if the deficiency is not remedied by you within the stipulated period.
- It shall be your sole responsibility(ies) to educate yourself/ yourselves and to comply at all times with all relevant laws relating to reporting under Section 285BA of the Act read with the Rules thereunder.

## FATCA & CRS Instructions

- If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.
- It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.
- In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

### FATCA & CRS indicia observed

### Documentation required for Cure of FATCA/ CRS Indicia

U.S. Place of birth	<ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND</li> <li>3. Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality" or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth</li> </ol>
Residence / mailing address in a country other than India	<ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; AND</li> <li>2. Documentary evidence (refer list below)</li> </ol>
Telephone number in a country other than India	<p><b>If no Indian telephone number is provided</b></p> <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; AND</li> <li>2. Documentary evidence (refer list below)</li> </ol> <p><b>If Indian telephone number is provided alongwith a foreign country telephone number</b></p> <ol style="list-style-type: none"> <li>1. Self-certification that the account holder is neither a citizen of US nor a tax resident of any country other than India; OR</li> <li>2. Documentary evidence (refer list below)</li> </ol>

### List of acceptable documentary evidence needed to establish the residence(s) for tax purposes;

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

\* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

### List of occupation

Service | Student | Professional | Housewife | Retired | Proprietorship | Others (Please specify):

**PART II - ADDITIONAL DETAILS FOR TRADING AND DEMAT ACCOUNT**

**(For Both Individuals and Non-Individuals)**

**APPLICATION NO.**

**INTERNAL REFERENCE NO.**

**DATE:**

Trading ID / UCC	<input type="checkbox"/> NSDL	<input type="checkbox"/> CDSL	<input type="checkbox"/> BSDA	<input type="checkbox"/> NON-BSDA
DPID <b>IN303108</b> CLIENT ID			DPID <b>12062000</b> CLIENT ID	

I/We request you to open a Trading and/or Demat account in my/our name as per the following details:

(To be filled by the applicant in **BLOCK LETTERS** in English)

HOLDER DETAILS	First/Sole Holder	Second/Joint Holder	Third Holder
Name			
Pan			
Education Qualification	<input type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional	<input type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional	<input type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate <input type="checkbox"/> Professional
Occupation	<input type="checkbox"/> Private Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Public Sector <input type="checkbox"/> Retired <input type="checkbox"/> Government Service <input type="checkbox"/> Housewife <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Farmer <input type="checkbox"/> Others <i>please specify</i>	<input type="checkbox"/> Private Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Public Sector <input type="checkbox"/> Retired <input type="checkbox"/> Government Service <input type="checkbox"/> Housewife <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Farmer <input type="checkbox"/> Others <i>please specify</i>	<input type="checkbox"/> Private Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Public Sector <input type="checkbox"/> Retired <input type="checkbox"/> Government Service <input type="checkbox"/> Housewife <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Farmer <input type="checkbox"/> Others <i>please specify</i>

In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:

Name	
Registration No./CIN	PAN

**TYPE OF ACCOUNT - Sub Status**

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director <input type="checkbox"/> Minor <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Others: <i>please specify</i>	<input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Individual Promoter
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI-Repatriable <input type="checkbox"/> NRI-Non-Repatriable <input type="checkbox"/> NRI-Depository Receipts <input type="checkbox"/> Others: <i>please specify</i>	<input type="checkbox"/> NRI-Repatriable Promoter <input type="checkbox"/> NRI-Non-Repatriable Promoter	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National-Depository Receipts	<input type="checkbox"/> Others: <i>please specify</i>	
<input type="checkbox"/> Non-Individual	<input type="checkbox"/> Body Corporate <input type="checkbox"/> FI <input type="checkbox"/> FII <input type="checkbox"/> OCB <input type="checkbox"/> HUF <input type="checkbox"/> Clearing Member	<input type="checkbox"/> Qualified Foreign Investor <input type="checkbox"/> Clearing Corporation/House	<input type="checkbox"/> Mutual Fund <input type="checkbox"/> Trust <input type="checkbox"/> Bank <input type="checkbox"/> Others: <i>please specify</i>

**FINANCIAL DETAILS – Gross Annual Income Range (Per Annum)** (Note: In case of any change in gross annual income for individual / non-individual, please inform accordingly)

<input type="checkbox"/> Individual	<input type="checkbox"/> Below Rs.1 Lac <input type="checkbox"/> Rs 1-5 Lacs <input type="checkbox"/> Rs 5-10 Lacs <input type="checkbox"/> Rs 10-25 Lacs <input type="checkbox"/> More than Rs 25 Lacs
<input type="checkbox"/> Non-Individual	<input type="checkbox"/> Below Rs.20 Lacs <input type="checkbox"/> Rs 20-50 Lacs <input type="checkbox"/> Rs 50 Lacs-1 Crore <input type="checkbox"/> Above Rs 1 Crore
Networth Amount	Rs _____ as on <input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> (Net worth should not be older than 1 year)

Please Tick "✓"; if applicable:  Politically Exposed Person (PEP)  Related to Politically Exposed Person (RPEP)  Not Politically Exposed Person (PEP)  
 Not Related to Politically Exposed Person (NRPEP)  None  
 Note: In case of Non-Individuals, it is applicable for any of your Authorised Signatory(ies)/ Promoters/Partners/Karta/Trustees/Directors

**DETAILS OF GUARDIAN** (Only in case the account holder is a "Minor")

Guardian's Name	
Guardian's PAN	Relationship with Applicant/Minor

Note: In case of Minor's Account, Two (2) separate KYC Application Form, must be filled i.e. One in the name of Guardian's himself/herself and another in the name of Minor (To be signed by Guardian)

**CLEARING MEMBER DETAILS** (Only in case the account holder is a "Clearing Member")

Name of the Stock Exchange	Name of Clearing Corporation/House
Clearing Member ID	SEBI Registration Number
Trade Name	CM BP-ID (to be filled by DP)

**In case of NRI's/FII's/OCBs/OTHERS (as applicable)** (Note: Please attach copy of SEBI Regn. Certificate/ RBI Approval (Permission) for dealing in securities from authorized dealer(bank))

SEBI Registration No.	SEBI Registration Date	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>
RBI Approval Ref No.	RBI Approval Date	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>

Declaration: With reference to my/our Trading and/or Demat account maintain with SSC, I/We hereby confirm that I/We have complied with, and shall continue to comply with Foreign Exchange Management Act (FEMA), 1999 and Rules & Regulations issued thereunder and other applicable laws



Mode of Operations in Joint A/c	<input type="checkbox"/> Anyone of holder or survivor	<input type="checkbox"/> Jointly
Communication in Joint A/c	<input type="checkbox"/> First Holder	<input type="checkbox"/> All Holders

<b>BANK ACCOUNT DETAILS</b>												<b>Account Type</b> (Tick "✓") : <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others												
Bank Account No.																								
Bank Name,																								
Bank Address with PIN Code																			PIN					
IFSC Code												MICR Code												

Note: Leaf of Cancelled Cheque (duly signed by applicant) with MICR and IFSC code is required Or please provide copy of Bank Statement/Passbook having above details

<b>BANK ACCOUNT DETAILS (Optional)</b>												<b>Account Type</b> (Tick "✓") : <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others												
Bank Account No.																								
Bank Name,																								
Bank Address with PIN Code																			PIN					
IFSC Code												MICR Code												

<b>DEPOSITORY ACCOUNT(S) DETAILS</b> (Through which transactions shall generally be routed)												(Please tick "✓" whichever is applicable)											
Depository Participant (DP) Name				Depository Name				Beneficiary Name				DP ID				DP Client ID/ BO ID							
SS Corporate Securities Limited				<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL																			
				<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL																			

Note: Copy of latest DP Client Master List (CML) or latest statement of holdings issued by DP bearing applicant name is required

<b>PAST ACTIONS</b>												(Please tick "✓" whichever is applicable)											
Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock Exchange/any other authority against the applicant/constituent or its partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:																							
<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide details: _____																							

<b>High Value Transactions</b>												(Please tick "✓" whichever is applicable)											
I/We may undertake high value transaction of Rs.5 lakhs or more in a day depending on market volatility in any of the Exchange/s, where I/We am/are registered as client with you. Purposes ofdoing transaction are:																							
<input type="checkbox"/> Trading / Speculation <input type="checkbox"/> Arbitrage Hedging <input type="checkbox"/> All of above																							

<b>GST Registration Details</b>																							
GST Registration No. _____						State _____						State Code _____						Validity Date__d d/m m/yyyy					

Local Sales Tax Registration No. _____						Name of the State _____						Validity Date__d d/m m/yyyy					
Central Sales Tax Registration No. _____						Name of the State _____						Validity Date__d d/m m/yyyy					
Other Sales Tax Registration No. _____						Name of the State _____						Validity Date__d d/m m/yyyy					

Local VAT Registration No. _____						Name of the State _____						Validity Date__d d/m m/yyyy					
Other VAT Registration No. _____						Name of the State _____						Validity Date__d d/m m/yyyy					

STANDING INSTRUCTIONS		(Please tick "✓" appropriate option and strike off, if not applicable)	
I/We instruct the DP to receive each and every credit in my/our account	(If not marked, the default option would be 'Yes')	(Automatic Credit) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Address of Communication/ Corporate Benefits	(If not marked, the default option would be 'Local/Permanent Address')	<input type="checkbox"/> Local/Permanent Add <input type="checkbox"/> Correspondence/Foreign Add	
I/We would like to share the email ID with the RTA	(If not marked, the default option would be 'Yes')	<input type="checkbox"/> Yes <input type="checkbox"/> No	
I/We would like to receive the Annual Report, AGM Notices & Other Communications from the Issuer & RTAs	(If not marked, the default option would be 'Physical')	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic	
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end	(If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Account to be opened through Power of Attorney (POA)		<input type="checkbox"/> Yes <input type="checkbox"/> No	
Account to be opened with Demat Debit and Pledge Instruction (DDPI)		<input type="checkbox"/> Yes <input type="checkbox"/> No	
I/We wish to receive dividend/interest directly in my/our bank account given above through ECS (ECS is mandatory for locations notified by SEBI from time to time)	(If not marked, the default option would be 'Yes')	<input type="checkbox"/> Yes <input type="checkbox"/> No	
Option for Issue of Delivery Instruction Booklet (DIS Booklet)		(Mandatory to select "✓" any one option)	
Kindly confirm the manner of receiving DIS booklet <b>To be filled by person seeking to open a Depository account where Power of Attorney (POA)/ Demat Debit and Pledge Instructions (DDPI) has been granted/submitted to operate the depository account and do not intend to open Basic Service Demat Account BSDA)</b>			
<input type="checkbox"/> I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our NSDL/CDSL account though I/We have issued a Power of Attorney (POA) or signed a Demat Debit and Pledge Instructions (DDPI) executed/submitted in favour of SS Corporate Securities Limited (SSC) with for executing delivery instructions for setting stock exchange trades (settlement related transactions) effected through SSC		<input type="checkbox"/> I/We do not require the Delivery Instruction Slip (DIS) for the time being, since I/We have issued a Power of Attorney (POA) or signed a Demat Debit and Pledge Instructions (DDPI) in favour of SS Corporate Securities Limited (SSC) for executing delivery instructions for setting stock exchange trades (settlement related transactions) effected through SSC. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.	
Mode of Operations	<input type="checkbox"/> Anyone Singly <input type="checkbox"/> Jointly <input type="checkbox"/> As per Board Resolution <input type="checkbox"/> Others: <u>please specify</u>		
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		
<b>SMS Alert Facility</b> Refer to Terms and Conditions given as Annexure 2.4	MOBILE NO. +91 _____	1 <sup>st</sup> Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Mandatory, if you are giving Power of Attorney (POA)/ If POA is not granted & you do not wish to avail of this facility, cancel this option)	2 <sup>nd</sup> Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
		3 <sup>rd</sup> Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Transactions Using Secured Texting Facility (TRUST)</b> Refer to Terms and Conditions as Annexure - 2.6	I/We wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST Stock Exchange Name/ID _____ Clearing Member Name _____ Clearing Member ID (Optional) _____		<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Easi</b>	To register for Easi, please visit CDSL's website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online		<input type="checkbox"/> Yes <input type="checkbox"/> No
Whether you wish to receive Physical Contract Note/Electronic Contract Note (ECN)/Statement of A/c/ Txn/Holding/Other Statements, pls specify Specify your Email Id, if applicable: _____ (If not marked, the default option would be 'Electronic')		<input type="checkbox"/> Physical <input type="checkbox"/> Electronic	
Number of years of Investment / Trading experience _____	E-mail Alert Facility		<input type="checkbox"/> Yes <input type="checkbox"/> No
Whether you wish to avail of the facility of Internet Trading/Wireless Technology (please specify) :			<input type="checkbox"/> Yes <input type="checkbox"/> No
I wish to receive the Standard Account Opening Documents i.e. Rights & Obligations (Stock Broker and Depository Participant), Uniform Risk Disclosure Documents and Guidance Note detailing Do's and Dont's in the following form/mode, please specify:			<input type="checkbox"/> Physical <input type="checkbox"/> Electronic
In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of <input type="checkbox"/> Company <input type="checkbox"/> Firm <input type="checkbox"/> Others: <u>please specify</u> _____ (Please use separate annexure)			
Any other information :			

## SELECT YOUR TRADING AND DEMAT PREFERENCES

Exchange	NSE, BSE & MSEI			
All Segment <input type="checkbox"/>	Cash/ Mutual Fund <input type="checkbox"/>	F&O <input type="checkbox"/>	Currency <input type="checkbox"/>	Debt <input type="checkbox"/>
If you do not wish to trade in any of the segments / Mutual Fund, Please mention here:				
NSDL <input type="checkbox"/>	CDSL <input type="checkbox"/>			
<input type="checkbox"/> I already have Demat account with SS Corporate Securities Limited				
DP ID	Client ID			

## DEALINGS THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS

If Client is dealing through the authorised person, provide the following details:

Authorised Person Name	SEBI Regn. No.	
Registered Office Address	City/Town/Village	PIN Code
	State	Country
	Phone	Fax
Whether dealing with any other stock broker/authorised person (in case dealing with multiple stock brokers/authorised person, provide details of all)		Website
Name of Stock Broker		
Name of authorised person (if any)		
Registered Office Address	City/Town/Village	PIN Code
	State	Country
	Client Code	Exchange
Details of disputes/dues pending from/to such stock broker/authorised person		

## NOMINATION OPTION

Date DD/MM/YYYY

Trading ID / UCC	<input type="checkbox"/> NSDL	<input type="checkbox"/> CDSL
DP ID IN303108 CLIENT ID		DP ID 12062000 CLIENT ID

I/ We do not wish to make a nomination. **[Strike off the nomination details below]**

Declaration for opting out of nomination :










I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further am/are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

I/We wish to make a nomination. **[As per details given below]**

## NOMINATION DETAILS

I/ We wish to make a nomination and do hereby nominate the following person (s) who shall receive all the assets held in my/our account in the event of my / our death

Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1	Name of the Nominee(s) (Mr./Ms.)			
2	Share of each Nominee Equally <input type="checkbox"/> [If not equally, please specify percentage]	%	%	%
		Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
3	Relationship With the Applicant (If Any)			
4	Address of Nominee(s)			
	PIN Code			

5	Mobile/Telephone No. of Nominee(s)																								
6	Email ID of Nominee(s)																								
7	Nominee Identification details :  [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature	Photograph of 1st nominee    Nominee Signature	Photograph of 2nd nominee    Nominee Signature	Photograph of 3rd nominee    Nominee Signature																					
		<input type="checkbox"/> PAN																							
		<input type="checkbox"/> Aadhaar																							
		<input type="checkbox"/> Saving Bank Account No.																							
		<input type="checkbox"/> Proof of Identity																							
		<input type="checkbox"/> Demat Account ID																							
<b>Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:</b>																									
8	Date of Birth {in case of minor nominee(s)}	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y
9	Name of Guardian (Mr./Mrs.) {in case of minor nominee(s)}																								
10	Address of Guardian(s)																								
		PIN Code																							
11	Mobile/Telephone No. of Guardian(s)																								
12	Email ID of Guardian(s)																								
13	Relationship of Guardian (s)with Nominee																								
14	Guardian Identification details :  [Please tick any one of following and provide details of same]  <input type="checkbox"/> Photograph & Signature	Photograph of 1st Guardian    Guardian Signature	Photograph of 2nd Guardian    Guardian Signature	Photograph of 3rd Guardian    Guardian Signature																					
		<input type="checkbox"/> PAN																							
		<input type="checkbox"/> Aadhaar																							
		<input type="checkbox"/> Saving Bank Account No.																							
		<input type="checkbox"/> Proof of Identity																							
		<input type="checkbox"/> Demat Account ID																							
Name(s) of Holder(s)										Signature(s) of holder															
Sole/ First Holder (Mr./Ms.)																									
Second Holder (Mr./Ms.)																									
Third Holder (Mr./Ms.)																									



Signature of Witness for Nomination*										
Name of the Witness	Address	Signature of Witness								
		Date <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			

\*Signature of witness, along with name and address are required, if account holder affixes thumb impression instead of signature.

- Notes: 1. This nomination shall supersede any prior nomination made by the account holder(s) if any.  
2. The Trading Member/Depository Participant shall provide acknowledgment of the nomination form to the account holder(s)




### INTRODUCER DETAILS (Optional)

Name of the Introducer	
Status of the Introducer	<input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Others, please specify
Address of Introducer	City/Town/Village
	State
	Country
Phone No.	Signature of the Introducer
Client Code/Client ID (if Existing Client)	

### DECLARATION

- I/We hereby declare that the rules, regulations and by-laws of the Depository, Depository Participants, Stock Exchanges, SEBI & Member Broker pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. The details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may be held liable for it. In case non-resident account, I/We also declare that I/We have complied & will continue to comply with FEMA regulation.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet and all the Voluntary / non-mandatory documents.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place : \_\_\_\_\_ Date \_\_\_\_\_

Signature of Sole/ First Holder/Guardian/Karta/ Auth. Signatory	Signature of Second Holder/Auth. Signatory	Signature of Third Holder/Auth. Signatory
		

### NOTE

- All communication shall be sent at the address of the Sole/ First holder only.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Strike off whichever is not applicable.
- For receiving Statement of account in electronic form:
  - Client must ensure the confidentiality of the password of the Email account
  - Client must promptly inform the participant if the Email address has changed.
  - Client may opt to terminate this facility by giving 10 days prior notice. Similarly, participant may also terminate this facility by giving 10 days prior notice.

### FOR OFFICE USE ONLY

UCC Code allotted to the Client	Client ID
---------------------------------	-----------

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy Obligations' and RDD would be made available on my/our website, if any, for the information of the clients. and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the "Rights and Obligations".

Date : \_\_\_\_\_

Signature of the Authorised Signatory of  
**SS CORPORATE SECURITIES LIMITED with Seal & Stamp**

## STANDING INSTRUCTIONS / AUTHORISATION

Date 

--	--	--	--	--	--	--	--	--	--

To,

### SS CORPORATE SECURITIES LIMITED

NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi-110034

Dear Sir,

I/We have been/shall be dealing through you as my/our Broker on the Capital Market/Future & Option/ Currency Derivatives and/or Commodity Derivative Segment(s) through NSE/BSE Exchanges.

I/We direct and authorize SS Corporate Securities Limited (herein after referred to as SSC) to carry out trading/ dealings on my/our behalf as per instructions given below. Please treat these instructions as written ratification of my/our verbal directions/ authorizations given and carried out by you earlier.

(Please mark Tick "✓" the relevant box and strike off what is not applicable)

#### 1. Running Account Authorization

- a. Maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/We instruct you otherwise and issue me/us CHEQUES/NEFT/RTGS/IMPS for the credit balance only as and when requested by me/us.
- b. Settle my/our fund account as per the following option;  **Once in every 90 days** OR  **Once in a every 30 days**  
or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
- c. Retain securities from my/our securities payout with you till request is made by me/us i.e. to maintain it as a running account for the securities.
- d. Retain payout of funds and securities to meet my/our margin and pay in obligations or debit my/our running account for the margin payable by me/us i.e. to maintain it as a running account for the funds.
- e. Transfer funds and/or securities from my/our account/running account in the inter-segment Capital Market/ Future & Option/ Currency Derivatives/ Commodity Derivative Segments and/or inter-exchange NSE/BSE or vice versa whenever applicable and found necessary by you.
- f. Pledge/re-pledge my/our securities as collateral/margin with SSC/Clearing Member(CM)/Clearing Corporation for the purpose of margin/collateral as also to meet shortfall obligation in my/our funds.
- g. I/We hereby agree to return the funds to me/us if I/we have not done any transaction in the 30 calendar days since the last transaction, within next three working days irrespective of the date when the running account was previously settled or I/We hereby agree to return the funds to me/us within the period as specified by SEBI/Exchange/s time to time.
- h. I/We authorize you to set off outstanding in any of my/our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the exchange and /or against the value of cash margin or collateral shares provided to you by me/us.
- i. I/We confirm you that I/We will bring to you notice any dispute arising from the statement of account or settlement so made in writing within seven (7) working days from the date of funds/securities or receipt of statement of account or statement related to it, as the case may be at your registered office.
- j. I/We am/are entitled to revoke this authorization at any time without any notice.

#### 2. Delivery Pay-In/ Pay-Out:

- a. In case, I/We have made any purchase transaction and the delivery of the same falls short inter-client at the broker level, I/We hereby authorize you to make purchases of the same in the market to make available the delivery of the said deliveries to me/us only if you feel fit in your absolute discretion.
- b. Similarly, in case, I/We have made any sale transaction and due to exigence, I/We am/are unable to make available the delivery of the same in the designated account within the prescribed time and it is an inter client delivery at broker level, I/We hereby authorize you to make purchased of the same in the market on my/our behalf to make available to the opposite party only if you feel fit in your absolute discretion.

#### 3. Declaration cum Undertaking:

I/We agree that any MTM loss on open positions should be adjusted against the initial deposit maintained in cash by me/us with you. I/We do hereby declare that I/We have not filed the Income Tax Return for the Financial Year (FY) ..... & thus I/We cannot produce ITR copy for the purpose of opening account in Equity/Commodity/Currency Derivative segment(s).

#### 4. Order Confirmation/ Modification/ Cancellation Instructions:

I/We understand that you require written instructions for receiving confirmation/modifying or cancelling orders. However, since it is not practical to give written instructions for every order, I/we hereby authorize you to kindly accept my/our authorized representatives, verbal instructions/orders in person or over phone and execute the same. I/We am/are getting required details from contracts issued by you.

#### 5. Delivery of Order Confirmation/ Modification/ Cancellation Slips:

I/We hereby authorize you not to provide me/us order confirmation/modification/cancellation slips and trade confirmation slips as generated from the Trading Terminal to avoid unnecessary paper work unless otherwise requested by me/us in writing. I/We shall get the required details from contract notes and/or confirmation issued by you.

#### 6. Consent For Electronic Contract Note (ECN)/ Statements on E-mail/Website

I/We hereby agree, declare and undertake as follows;

- a. That SSC has to provide Physical Contract Notes (PCN)/ Various Statements that includes Transaction Statements/ Bills/ Financial Statements/ Net Positions/ Margin Statements/ Statement of Accounts cum Register of Securities/ Trade Confirmation Statements/ DP Transaction Statement/ DP Holding Statement/ DP Transaction-cum-Holding Statement/ DP Bills/ DP Statement of Accounts/ Ledger Statements and/or all other statements/ reports with regards to my/our Trading and/or Demat Account(s) as per the statutory requirements or under my specific request for all the transactions placed by me/us unless I/We myself/ourselves want the same in the electronic form. I/We am/are aware that SSC has to provide Electronic Contract Notes (ECN)/ various statements as mentioned above for my/our convenience and/or on my/our request only.
- b. Though SSC is required to deliver Physical Contract Notes (PCN)/various statements, I/We find that it is inconvenient for me/us to receive Physical Contract Notes (PCN)/various statements. Therefore, I/We am/are voluntarily requesting for delivery of Electronic Contract Notes (ECN)/various statements pertaining to all the transactions carried out/ordered by me/us through e-mail and/or your website. I/We am/are aware that I/We will not receive contract note/various statements in paper/physical form. I/We understand that website(s) would mean www.sscorporate.com and or any of its pages/links/applets or any other website that SSC inform from time to time.

- c. I/We am/are aware that this declaration form should be in English or in any other language known to me/us. I/We have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- d. My/Our registered email id has been created by me/us and not by someone else. I/We am aware that non-receipt of bounced mail notification by SSC shall amount to delivery of the ECN/various statements at my/our registered e-mail ID. That I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the Back Office/E-mail account. That I/We am/are aware that the ECN/various statements, may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
- e. That I/We shall log off from the Website/ Back office/ E-mail Service, whenever I/We am/are not accessing or using the service. Any liability incurred to me/us as a consequence of the not logging off the service shall be borne solely by me/us. That I/We shall immediately notify SSC in writing with full details if I/We discover or suspect, unauthorized access through my/our Back Office password or notice discrepancies that might be attributable to unauthorized access, Forget Back Office password or Discover a security flaw.
- f. That I/We am/are responsible for installing, operating and maintaining the communication equipment (including but not limited to personal computers and modems), software, tools, telephone or alternative service required at the my/our end and connectivity required for using and accessing the website(s), back office, email account(s), any above referred documents or any other related services at my/our own cost. That SSC will not (and shall not) be under any obligation to assist me/us in operating, installing and / or maintaining any such communication equipment/infrastructure or obtaining any permits, clearances etc for establishing connectivity or linkages to the website(s) of SSC. That SSC's own records of the ECN/various statements mentioned through computer systems or otherwise shall be accepted as conclusive and binding on me/us for all purposes. That the initial password sent by SSC of Back Office should only be used for the first login. I/We agree to immediately reset my/our password upon the first login. I/We understand and agree that If I/We do not change the password(s) after the first login, I/We shall keep the SSC and/or any of its employees, directors, agents, associates indemnified against any loss suffered by them and also not hold them responsible for any loss suffered by me/us on my/our Trading and/or Demat Account. That the records of the SSC with respect to delivery of above referred documents shall be conclusive evidence of any such documents delivered. I/We agree not to hold you responsible for late/non-receipt of ECN/various statements sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.
- g. I/We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of ECN/various statements to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any non-receipt/delayed receipt for any reason whatsoever. I/We understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter. I/We acknowledge that SSC shall not take cognizance of any out-of-office/out-of-station auto replies and in such cases; I/We shall be deemed to have duly received such electronic statements sent on my/our registered e-mail address. The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract notes/various statements, and do hereby take full responsibility for the same. In case, I/We do not inform SSC and/or get the discrepancies corrected within the stipulated time, the same shall be deemed to have been unequivocally accepted by me/us and will be binding upon me/us. However, SSC in its sole and absolute discretion, reserves the right to determine the validity of any objection to the transaction, even though the objection is received after the expiry of stipulated time. SSC shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for me/us. That SSC and I/We shall have the right to terminate such service provided a written notice is given at least Ten (10) days in advance to the other party. That I/We shall approach SSC within 24 hours from the delivery/display of the above mentioned ECN/various statements intimating any inability to access or download. Failing which it shall be deemed to be the due delivery and acceptance sent to me/us via electronic mail/displayed on the website of SSC. I/We acknowledge that the may amend the Terms and Conditions contained herein at any time, with or without giving any prior notice to me/us & the same shall be binding upon me/us.
- h. Please treat this authorization as written rectification of my/our verbal directions/authorizations given and carried out by SSC earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my/our directions given above.

**7.  Declaration for Delivery of Trade Confirmation via SMS:**

I/We hereby agree and give my/our consent for sending Trade Confirmations via SMS at my/our registered mobile number in your records and I/We have also understood that I/We will not receive the telephonic trade confirmation.

**8.  LOG Reports on E-mail & SMS:**

- a. I/We will completely rely on the log reports of your dispatching software a conclusive proof of dispatch of E-mail of Contact Notes, Statement of accounts, Settlement Account letter, Margin Report, etc. to me and will not dispute the same.
- b. I/We will completely rely on the log reports of your dispatching software a conclusive proof of dispatch of SMS of Trade Confirmations, Balances, etc. to me/us and will not dispute the same.

**9.  Trading in Electronic Mode:**

Trading of Commodity in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I/We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control and may result in delay in processing or not processing buy or sell order either in part or in full. I/We agree that I/We shall be fully liable and responsible for any such problems/glitch.

**10.  Online Trading Facility :**

Web Based Trading  Application Based Trading  Mobile App

- a. I/We wish to trade through Internet & Wireless Technology Based Trading (IBT) facility provided by you as per the options mentioned above.
- b. I/We hereby confirm you to send our username and password and other related information at my/our registered e-mail address. Further, I/We confirm that I/We have fully read and understood the provisions laid down in the attached annexure pertaining to Internet & Wireless Technology Based Trading (IBT) facility provided by SSC to clients and do hereby acknowledge the same and agree not to call into question the validity, enforceability and applicability of any provision/clauses in this document under any circumstance what so ever.

**11.  Declaration for Aadhaar:**

I/We hereby submit voluntarily at my/our own discretion, the physical copy of Aadhaar card/ Physical e-Aadhaar/ Masked Aadhaar / Offline Electronic Aadhaar Xml as issued by UIDAI (Aadhaar), to SSC for the purpose of establishing my/our identity / address proof and voluntarily give my/our consent to open account/process instructions for the said purpose with SSC in my/our name/s individual capacity/ies using my/our Aadhaar or as an authorized signatory in non-individual accounts and; hereby consent to SSC for verification of my/our Aadhaar to establish its genuineness through Quick Response (QR) code embedded in the Aadhaar card or through such other acceptable manner as per UIDAI or under any Act or law from time to time. The consent and purpose of collecting Aadhaar has been explained to me/us in local language. SSC has informed me/us that my/our Aadhaar submitted to the SSC herewith shall not be used for any purpose other than mentioned above, or as per requirements of law. SSC has informed me/us that this consent and my/our Aadhaar will be stored along with my/our account details within SSC I/We hereby declare that all the information voluntarily furnished by me/us is true, correct and complete. I/We will not hold SSC or any of its officials responsible in case of any incorrect information provided by me/us.

**12.  Charges & Balance Maintenance:**

I/We have trading and/or demat account with SSC. Please debit the charges relevant with depository services and courier charges for sending physical contract notes & other documents from my/our trading/demat account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading/demat account and/or pay adequate advance fee for the said reason.

**13.  Authorisation for Circulars:**

I/We shall abide by the rules regulations/guidelines circulars of the exchange issue from time to time as applicable and shall be liable for non-adherence.

I/We shall be liable for all losses, damages and actions which may arise as a consequences of your adhering to and carrying out my/our directions given above and further agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of securities/monies under this agreement. Please treat this authorization as written ratification of my/our verbal directions/authorizations given and carried out by you earlier. Please follow the above mentioned standing instructions until further written instruction. I/We agree to indemnify you and keep you indemnified against all losses, damages and actions which you may suffer or face as a consequence of adhering to and carrying out my/our directions given above.

## BROKERAGE SLAB/ TARIFF SHEET FOR BROKING SERVICES

To,  
**SS Corporate Securities Limited**  
 NDM 2, Block D, 3rd Floor, Netaji Subhash Place,  
 Pitampura, Delhi 110034

Date:

Dear Sir,

I/ We hereby agree with the charges and brokerage terms & conditions as mentioned below:

Capital Market (Cash) Segment				Equity Derivative (F&O) Segment		Currency Derivative Segment		Commodity Derivative Segment	
Delivery		Intraday / Square Up		Futures	Option	Futures	Option	Futures	Option
%age	Min(Rs.)	%age	Min(Rs.)	%age	Min(Rs./lot)	%age	Min(Rs./lot)	%age	Min(Rs./lot)

### Other Charges and Terms & Conditions;

All statutory and exchange related charges i.e. Securities Transaction Tax (STT), Commodities Transaction Tax (CTT), Exchange Transaction Charges, Stamp Duty (as applicable State wise), SEBI Turnover Fees, Goods and Service Tax (GST) and any other Statutory/Regulatory/Government charges will be levied as may be applicable from time to time over and above Brokerage opted

Stock Broker is authorized to charge to client if any additional charges arrives in any given circumstance and conditions related to Trading and/or Demat and thereafter inform the client

Courier Charges will be levied on actual or Rs.100 (GST extra), whichever is higher on per delivery of physical document

Delayed Payment Charges on debit balance will be debited to client's Trading and/or DP ledger not exceeding 24% Per Annum

In event of Cheque bounce, charges per actual on each instance will be levied to client

All Types of Demat charges like annual maintenance fees, transaction charges etc, will be debited from Clients Trading ledger at actual as per clients Demat Account's Billing.

Additional services provided to client like SMS/E-mail Alert facility etc., would be charged separately as decided by stock broker from time to time

Changes in any charges other than statutory/Regulatory /Government will be intimated within 30 days of notice and should be mutually agreed upon

Brokerage will not exceed the rates specified by SEBI and Exchanges.

Any penalty levied by Exchanges on the client positions shall be recovered from the Client's account, in case of default.

Minimum brokerage will be charged at the rate of Rs.20/- per for each day

I/We have understood and agreed the above terms and conditions related to charges listed above being charged to me/us, as applicable and agree to abide by the same.

 First/Sole Holder

Name of Account Holder \_\_\_\_\_

**TARIFF SHEET FOR DEMAT ACCOUNTS OF NSDL AND CDSL**

Tick any one	<input type="checkbox"/> Regular Scheme	<input type="checkbox"/> Corporate Account	<input type="checkbox"/> Life Time
Description	Individual and HUF	Corporate	Individual and HUF
Account Maintenance Cycle	Quarterly -- Four Quarters (One Year)		N.A.
Maintenance Charges	Rs.250/- for First Quarter, Remaining three Quarters are free	Rs. 800/- for First Quarter, Remaining three Quarters are free	Rs. 999/-
<b>Common Charges Applicable to All Schemes :-</b>			
<b>Transaction Charges :-</b>			
On Market Transaction:-Through Speed-e Through DIS Slip	Rs. 15/- per Instruction Rs. 20/- per Instruction		
Off Market Transaction :-Through Speed-e Through DIS Slip	Rs. 25/- per Instruction Rs. 30/- per Instruction		
Dematerialization Charges*	Rs. 6/- per certificate Subject to Min. Rs. 50/- Plus courier charges		
Dematerialization Rejection Charges*	Rs. 20/- per Rejection Plus courier charges		
Rematerialization Charges*	Rs. 100/- per request or Rs. 25/- for every 100 securities or part thereof, whichever is higher Plus courier charges		
Pledge Creations	Rs. 50/- per instruction or 0.01% of value, whichever is higher		
Securities Borrowings ,	Rs. 50/- per instruction		
Hold on Securities for NDU	Rs. 100/- per instruction or 0.02% of value whichever is higher		
Closure/ Invocation of Pledge	Rs. 50/- per instruction		
Conversion of MF units*(SOA to Demat)	Rs. 50/- per ISIN		
Reconversion of MF units* (Demat to SOA)	Rs. 100/- per ISIN		
Redemption of MF Units*	Rs. 15/- per ISIN		
Speed-e and Easiest (For Password Users)	Rs. 100/- per Annum		
Speed-e and Easiest (DSC based user)	Rs. 500/- per Quarter		
Speed-e & Easiest Activation Charges / Reset of Password	Rs. 100/- per Request		
Account / ISIN (Freezing/Unfreezing)	Rs. 50/- per request		
Issue of Client Master(additional)	Rs. 20/- per Client Master Plus courier Charges, if sent by courier		
POA Charges including Stamp paper	Rs. 100/-		
DIS Book*(20 Leaves)	Rs. 30/- per Book Plus courier Charges		
DIS Book Issue through letter	Rs. 100/- per Book / Instance Plus courier Charges		
DIS Rejection/ Failed Instruction Charges*	Rs. 10/- per instruction Plus courier Charges		
Modification in Client master	Rs. 50/- per instance		
Non -Periodic Statement	Nil by Email. Rs. 30/- per instance for physical.		
CAS Charges (Email / Physical)	As per NSDL / CDSL		
*Courier Charges Extra :- Rs. 30/- with in Delhi, Rs. 40/- outside Delhi or Actual Charges			
For BSDA Accounts :- (For Debt Securities Value up to Rs. 1 Lac - NIL, 1 Lac to 2 Lacs Rs. 100/- p.a., More than 2 Lacs Rs. 250/- Per Annum) (For Other Than Debt Securities Up to 50,000 - Nil, 50,000 to 2 Lacs Rs. 100 per Annum, Above 2 Lacs Rs. 250/- p.a.)			
Transaction Charges :- For On Market Transaction :- Rs. 35/- per Instruction or 0.02% of value, whichever is higher For Off Market Transaction :- Rs. 40/- per Instruction or 0.02% of value, whichever is higher			

- In case Bank Mandate for Debit through ECS is not give, minimum credit of Rs. 500/- shall be maintained.
- In case of non-payment of dues within 30 days of due date, interest will be charged @2% per month on the outstanding dues and the depository services are liable to be discontinued. Restoration charges will be Rs.100/
- Any service not listed above will be charged extra.
- Govt. Taxes, Stamp Duty and other government levies are extra as applicable from time to time.

-----  
(First Holder)

-----  
(Second Holder)

-----  
(Third Holder)

**FURTHER :**

1. Eligibility criteria for BSDA shall be evaluated at the end of every billing cycle. If the valuation of holding in BSDA account exceeds the prescribed criteria at any date, AMC shall be applicable as per the regular accounts, from that date onwards.
2. Customer opting for BSDA have to mandatorily give ECS standing instructions.
3. Customer opting for BSDA, if required for any other services Rs. 25/- shall be charged for such service(s).
4. Charges are subject to revision by giving 30 days prior notice, information of which shall be sent by ordinary post / electronic mode / courier.
5. Basis the transaction value, SS Corporate Securities Limited may ask the Customer to deposit advance payment towards the transaction charges.
6. SS Corporate Securities Limited may refuse to execute the DIS / suspend / freeze the account in case of non-payment of outstanding bill.
7. Any dispute is subject to Delhi Jurisdiction only.
8. Customer agrees and authorise SS Corporate Securities Limited to recover outstanding amount appearing in the Demat account from the respective Trading Account.
9. In case of issuance of DIS without requisition slip / lost, Rs. 100/- will be charged.
10. In case of closure, refund shall be processed within 45-60 days.
11. Taxes shall be levied on the requisite / required services as per the prevailing Govt. Policy & Rate.
12. Any charge that is not mentioned above, shall be charged on actual. In case of any off market(sale) transaction, stamp duty should be paid in advance to the Depository(ies).

**FORMAT OF REQUEST - FOR COMMON EMAIL & MOBILE NUMBER**

**SS Corporate Securities Limited**

Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Corporate Office: NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, New Delhi-110034

E-mail: [info@ssccorporates.com](mailto:info@ssccorporates.com) Tel: 011-47003600 ( 30 Lines)

Please mark ( ✓ ) on the appropriate column and please fill all the details in **Black and Block** Letters in English.

Trading ID / UCC	<input type="checkbox"/> NSDL	<input type="checkbox"/> CDSL
DP ID 303108 CLIENT ID		DP ID 12062000 CLIENT ID

Dear Sir,  
I \_\_\_\_\_ would like to confirm that following Mobile number &/or E-mail ID is/are registered in my account:-

Mobile No.	+91															

E-mail Id															

I understand that my mobile number/email id is registered with some other trading and/or demat account/s with you.  
I hereby declare that I have given consent to the below clients, who is / are my family member/s (i.e. spouse/depended parents/depended children) to mention my mobile number/email id in their KYCs to which I have no objection.  
All the transactions in their accounts are not only done as per their respective instructions but they are well conveyed by me of all the SMS/Email belonging to them which are sent by you to me on my above mobile number/email id.  
All these below clients also do not have any objection to the same. They have also signed the consent form:-

Sr. No	Trading Id	Demat A/C No.	Client Name	Relation	Signature
1					
2				<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Son <input type="checkbox"/> Daughter	
3				<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Son <input type="checkbox"/> Daughter	
4				<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Son <input type="checkbox"/> Daughter	
5				<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Son <input type="checkbox"/> Daughter	

### Disclosure for Proprietary Trading

To,  
Mr. / Ms. \_\_\_\_\_

Sub : Disclosure by Member for Proprietary Trading

Dear Sir / Madam,

This is in reference to above captioned subject, we hereby confirm that we (SS Corporate Securities Limited) carry out trading on behalf of client and for our own account as well as we as company do engage in proprietary business. The same is being confirmed as per Exchange notification.

Regards,

For SS Corporate Securities Limited

Authorised Signatory

**Note :** The information to given in the form, is the sole property of the Member Broker and would not be disclosed to anyone unless required by law/statutory/regulatory authorities or except with express permission of the client.

### ACKNOWLEDGEMENT

I / We hereby acknowledge the receipt of the information given by SS Corporate Securities Limited in respect to confirmation that trading in 'Pro' Account.

Signature of Client  \_\_\_\_\_ Name : \_\_\_\_\_

### BANKER'S VERIFICATION




To, \_\_\_\_\_ Date: \_\_\_\_\_

**SS Corporate Securities Limited**

NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, Delhi :110034

Dear Sir,

This is to certify that the savings / current account no. of Mr. / Ms. / M/s ..... held jointly with Mr. Ms. / M/s .....with our branch, has been active since ..... We confirm that the signature of the client agrees with the specimen held in our records. This verification is without any responsibility on the part of the bank or the signing offer.

Signature of Client  \_\_\_\_\_ Signature of Client  \_\_\_\_\_ Signature of Client  \_\_\_\_\_

Signed in my/our presence and attested by me/us

Bank Name & Address	
Name & Signature of Authorised Signatory	
Stamp of the Bank Branch	

I/We understood all the contents of this KYC/Voluntary Documents duly interpreted in my mother tongue.

Signature of Client  \_\_\_\_\_

(Tear Here)

## DECLARATION BY KARTA & ALL CO-PARCERNERS IN CASE OF HUF ACCOUNT

To,  
**SS Corporate Securities Limited**  
 NDM 2, Block D, 3rd Floor, Netaji Subhash Place, Pitampura, Delhi :110034

Date	D	D	M	M	Y	Y	Y	Y
------	---	---	---	---	---	---	---	---

1. WHEREAS the Hindu Undivided Family of \_\_\_\_\_ is carrying on business in the firm name and style of \_\_\_\_\_ at \_\_\_\_\_ or we intent to deal, have or desire to have Share Trading Account with SS Corporate Securities Limited (hereinafter referred as 'Member') We, undersigned, hereby confirm and declare that we are the present adult co-parceners of the said joint family; that \_\_\_\_\_ is the present Karta of the said joint family.
2. We confirm that affairs of the H.U.F. firm are carried on mainly by the Karta \_\_\_\_\_ on behalf and in the interest and for the benefit of all the co-parceners. We hereby authorize the Karta \_\_\_\_\_ on behalf of the H.U.F. to deal on Capital Market segment (CM), Futures and Options segment (F&O), or any other segment that may be introduced by NSE in future and the said Trading Member is hereby authorized to honor all instructions oral or written, given by him on behalf of the H.U.F. Mr./Mrs. \_\_\_\_\_ is authorized to sell, purchase, transfer, endorse, negotiate documents and / or otherwise deal through SS Corporate Securities Limited on behalf of the H.U.F. \_\_\_\_\_ He is also authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may be deemed necessary or expedient to open account and give effect to this purpose. We are, however, jointly and severally responsible for all liabilities of the said H.U.F firm to the Member and agree and confirm that any claim due to the Member from the said H.U.F. firm shall be recoverable from the assets of any one or all of us and also from the estate of the said joint family including the interest thereon of every co-parcener of the said joint family, including the share of the minor co-parceners, if any.
3. We undertake to advise the Member in writing of any change that may occur in the Kartaship or in the constitution of the said joint family or of said H.U.F firm and until receipt of such notice by the Member which shall be binding on the said joint family and the said H.U.F firm and on our respective estates. We shall, however, continue to be liable jointly and severally to the Member for all dues obligations of the said H.U.F. firm in the Member's book on the date of the receipt of such notice by the Member and until all such dues and obligations shall have been liquidated and discharged.
4. We recognize that a beneficiary account can be opened with Depository Participant only in the name of HUF/Karta as per regulations. To facilitate the operation of the above share trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, we authorize you to recognize the beneficiary account no. \_\_\_\_\_ with Depository \_\_\_\_\_ Opened in name of \_\_\_\_\_ who is the Karta of this H.U. F.
5. The names and date of birth of the present minor co-parceners of the said joint family are given below. We undertake to inform you in writing as and when each of the said members attains the age of majority and is authorized to act on behalf of, and bind the said H.U.F. Firm.

Name of the Minor	Father's Name	Date of Birth
_____	_____	_____/_____/20____
_____	_____	_____/_____/20____

6. We have received and read a copy of the Member's rules and regulations for the conduct of Share Trading Accounts and we agree to comply with and be bound by the said rules now in force or any changes that may be made therein from time to time.
7. This is to certify that following are the family members under \_\_\_\_\_ (HUF)

List of Family Members (Seperate Annexure may be used in case number of members is higher)

S. No.	Name of Coparcener/Member	Gender	Relationship with Karta	Date of Birth	Whether Coparcener/Member (Please Specify)
1					
2					
3					
4					
5					

Thank you.  
 Yours Truly

Signature of Karta \_\_\_\_\_

Name: \_\_\_\_\_ Address: \_\_\_\_\_

_____ (Signature of Co-parcener)	_____ (Signature of Co-parcener)	_____ (Signature of Co-parcener)	_____ (Signature of Co-parcener)
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**SS CORPORATE SECURITIES LTD**

NDM-2, Block D, 3<sup>rd</sup> Floor, Netaji Subhash Place, Pitampura, Delhi- 110034 Telephone: 011-47003600

Date : .....

**SUBJECT: DEMAT DEBIT PLEDGE INSTRUCTION (DDPI) AUTHORISATION**

I / WE \_\_\_\_\_ (name of the BO), having UCC Code: \_\_\_\_\_ hold a Demat Beneficiary account No. \_\_\_\_\_ (BO-ID) with Central Depository Services (India) Limited and National Securities Depository Limited, through SS Corporate Securities Limited (SSCSL), bearing CDSL DP ID-12062000 & NSDL DP ID IN303108 and Whereas I am an Investor engaged in buying and selling of securities through SS Corporate Securities Limited, bearing a member of BSE, NSE & MCX-SX having SEBI Regd. No. INZ000219533 having office at NDM-2, 3rd floor, Block-D, Netaji Subhash Place, Pitampura, Delhi-110034.

For my/our convenience & in order to facilitate the proper execution of all transactions done by me/us with SS CORPORATE SECURITIES LTD, I/We hereby agree & authorize SS Corporate Securities Ltd to operate my/our Demat / (BO) account(s) opened/ to be opened and mapped with my/our aforesaid Trading Account to transfer /margin pledge / margin re-pledge / margin de-pledge securities from my/our BO account(s) only to SS Corporate Securities Ltd BO account(s) and/or Exchange(s) related Pool & Margin Account of SS Corporate Securities Ltd specified herein below.

Sr. No.	DP ID/CM-BP-ID		Client ID
1	IN303108/IN563495	10001296	(NSE Payin Account-NSDL)
2	IN303108/IN632686	10080323	(BSE Payin Account-NSDL)
3	IN303108	10279212	(Margin Pledge Account-NSDL)
4	12062000	00011533	(Margin Pledge Account-CDSL)
5	12062000	00002121	(NSE Payin Account-CDSL)
6	12062000	00000031	(BSE Payin Account-CDSL)
7	12062000	00000046	(BSE Principal Account-CDSL)
8	12062000	00002647	(MSEI Payin Account-CDSL)

Please further note that while I/We am/are entitled to revoke this DDPI authorisation any time, by sending signed physical letter of revocation, through Regd/Speed post at your above address. I/We agree that till time my/our revocation request will be processed and updated in your records, SS Corporate Securities Ltd will continue to be authorized to transfer/margin pledge/ margin re-pledge/ margin de-pledge securities under this DDPI authorization against my/our obligations, if any, in my/our captioned trading/demat account.

<b>Purpose- 1.</b>	Transfer of securities held in my/our beneficial owner account(s) towards Stock Exchange(s) related deliveries / settlement obligations arising out of trades executed by me / us on the Stock Exchange(s) through you.		
Signature of Client*	Signature of First Accountholder Name .....		
	Signature of Second Accountholder Name .....		Signature of Third Accountholder Name .....
<b>Purpose- 2.</b>	Pledging / re-pledging of securities in favour of SS Corporate Securities Ltd as trading member (TM) / clearing member (CM) for the purpose of meeting my/our margin requirements in connection with the trades executed by me / us on the Stock Exchange(s).		
Signature of Client*	Signature of First Accountholder Name .....		
	Signature of Second Accountholder Name .....		Signature of Third Accountholder Name .....
<b>Purpose- 3.</b>	Mutual Fund transactions being executed on Stock Exchange order entry platforms		
Signature of Client*	Signature of First Accountholder Name .....		
	Signature of Second Accountholder Name .....		Signature of Third Accountholder Name .....
<b>Purpose- 4.</b>	Tendering shares in open offers through Stock Exchange platforms		
Signature of Client*	Signature of First Accountholder Name .....		
	Signature of Second Accountholder Name .....		Signature of Third Accountholder Name .....

[ Note : To be signed by all the accountholders in whose name the Demat Account(s) is/are opened/ to be opened & mapped with the trading account having abovementioned Unique Client Code]

**COMPLETENESS OF FORM**

**Verified by**

**Approved by**

Name : \_\_\_\_\_

Name : \_\_\_\_\_

Signature : \_\_\_\_\_

Signature : \_\_\_\_\_

Date : \_\_\_\_\_

Date : \_\_\_\_\_

**Entered in Back office System by**

**Entered in Surveillance System by**

Name : \_\_\_\_\_

Name : \_\_\_\_\_

Signature : \_\_\_\_\_

Signature : \_\_\_\_\_

Date : \_\_\_\_\_

Date : \_\_\_\_\_

Client Name : \_\_\_\_\_

Branch : \_\_\_\_\_

Received on : \_\_\_\_\_

Relationship  
Manager : \_\_\_\_\_

Dealer : \_\_\_\_\_

Introducer : \_\_\_\_\_



SS Corporate Securities Ltd.



Equity



Commodity



IPO



Currency



Insurance



Mutual Fund

You can now connect us  
through the following Apps



SS Corporate Insta Invest  
for Mutual Funds



SS Smart Trade  
for Trading

Available for download on:



SS Corporate Securities Limited

NDM-2, Block-D, 3rd Floor, Netaji Subhash Place, Pitampura, Delhi-110034

Tel. Nos. : 011-47003600, Fax No. :011-47003601



Website: [www.sscorporate.com](http://www.sscorporate.com)



Email: [info@sscorporate.com](mailto:info@sscorporate.com)